

This Page Is Inserted by IFW Operations
and is not a part of the Official Record

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

IMAGES ARE BEST AVAILABLE COPY.

**As rescanning documents *will not* correct images,
please do not report the images to the
Image Problem Mailbox.**

PCT

WORLD INTELLECTUAL PROPERTY ORGANIZATION
International Bureau



INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification ⁷ : A61K 31/335	A1	(11) International Publication Number: WO 00/35444 (43) International Publication Date: 22 June 2000 (22.06.00)
(21) International Application Number: PCT/US99/30100		(81) Designated States: AU, CA, JP, European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE).
(22) International Filing Date: 16 December 1999 (16.12.99)		
(30) Priority Data: 09/215,608 17 December 1998 (17.12.98) US		Published <i>With international search report. Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.</i>
(71) Applicant: LOMA LINDA UNIVERSITY MEDICAL CENTER [US/US]; 11234 Anderson Street, Loma Linda, CA 92354 (US).		
(72) Inventor: WECHTER, William, J.; 2285 East Ojai Avenue, Ojai, CA 93023 (US).		
(74) Agent: ALTMAN, Daniel, E.; Knobbe, Martens, Olson Bear, LLP, 620 Newport Center Drive, 16th floor, Newport Beach, CA 92660-8016 (US).		
(54) Title: USE OF γ -TOCOPHEROL AND ITS OXIDATIVE METABOLITE LLU- α IN THE TREATMENT OF DISEASE		
(57) Abstract		
The present invention is generally related to the discovery of the therapeutic benefit of administering γ -tocopherol and γ -tocopherol derivatives. More specifically, the use of γ -tocopherol and racemic LLU- α , (S)-LLU- α , or γ -tocopherol derivatives as antioxidants and nitrogen oxide scavengers which treat and prevent high blood pressure, thromboembolic disease, cardiovascular disease, cancer, natriuretic disease, the formation of neuropathological lesions, and a reduced immune system response are disclosed.		

FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav Republic of Macedonia	TM	Turkmenistan
BF	Burkina Faso	GR	Greece	ML	Mali	TR	Turkey
BG	Bulgaria	HU	Hungary	MN	Mongolia	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MR	Mauritania	UA	Ukraine
BR	Brazil	IL	Israel	MW	Malawi	UG	Uganda
BY	Belarus	IS	Iceland	MX	Mexico	US	United States of America
CA	Canada	IT	Italy	NE	Niger	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NL	Netherlands	VN	Viet Nam
CG	Congo	KE	Kenya	NO	Norway	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NZ	New Zealand	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's Republic of Korea	PL	Poland		
CM	Cameroon	KR	Republic of Korea	PT	Portugal		
CN	China	KZ	Kazakhstan	RO	Romania		
CU	Cuba	LC	Saint Lucia	RU	Russian Federation		
CZ	Czech Republic	LI	Liechtenstein	SD	Sudan		
DE	Germany	LK	Sri Lanka	SE	Sweden		
DK	Denmark	LR	Liberia	SG	Singapore		
EE	Estonia						

**USE OF γ -TOCOPHEROL AND ITS OXIDATIVE METABOLITE LLU- α IN THE
TREATMENT OF DISEASE**

FIELD OF INVENTION

The present invention is generally related to the discovery of the therapeutic benefit
5 of administering γ -tocopherol and γ -tocopherol derivatives. More specifically, the use of
 γ -tocopherol and racemic LLU- α , (S)-LLU- α , or other γ -tocopherol derivatives as
antioxidants and nitrogen oxide scavengers which treat and prevent high blood pressure,
thromboembolic disease, cardiovascular disease, cancer, natriuretic disease, the formation
of neuropathological lesions, and a reduced immune system response are disclosed.

10 **BACKGROUND OF THE INVENTION**

Vitamin E, an essential fat-soluble vitamin, encompasses eight naturally occurring
compounds in two classes. The first class, tocopherols, have four members designated
alpha, beta, gamma and delta. The two major forms, α -tocopherol and γ -tocopherol, differ
structurally only by a methyl group substitution at the 5-position. The second class,
15 tocotrienols, are molecules related to the tocopherols and also consist of four members
designated alpha, beta, gamma and delta. The tocotrienol structure differs from the
tocopherols by possessing three double bonds in their side chain rather than being saturated.

One of the important chemical features of the tocopherols is that they are redox
agents which act under certain circumstances as antioxidants. In acting as an antioxidant,
20 tocopherols presumably prevent the formation of toxic oxidation products, such as
peroxidation products formed from unsaturated fatty acids. Early on, investigators
attributed most if not all of the biological activity of the tocopherols to their ability to act as
antioxidants. More recently, however, other biological activities have been associated with
tocopherols including the modulation of signal transduction, modulation of phospholipid
25 metabolism, inhibition of protein kinase C, inhibition of phospholipase A and inhibition of
prostaglandin production. (*Meydani and Mosen, The Lancet 345(8943):170-175 (1995)*).

Further, it has recently been discovered that individual members in the class of
tocopherols may exhibit different biological properties from one another despite their
structural similarity. Some investigators, for example, believe that γ -tocopherol, unlike
30 α -tocopherol, acts *in vivo* as a trap for membrane-soluble electrophilic nitrogen oxides and
other electrophilic mutagens. (*Christen et al. Proc. Natl. Acad. Sci. 94: 3217-3222 (1997)*).

In contrast, others report that α -tocopherol is a more powerful antioxidant and has ten

times the biological activity of γ -tocopherol. (*Meydani and Mosen, The Lancet* 345(8943):170-175 (1995)). Alpha-tocopherol is also thought to be retained in the body longer than γ -tocopherol and has been shown to preferentially reincorporate into nascent very low-density lipoproteins (LDL). (*Christen et al. Proc. Natl. Acad. Sci.* 94: 3217-3222 (1997)). At present, an understanding of the differences in biological activity of the four tocopherols and their effect on the body is in its infancy.

Alpha tocopherol is largely considered the most important member of the class of tocopherols because it constitutes about 90% of the tocopherols found in animal tissues and displays the greatest biological activity in the commonly used bioassay systems. In consequence, vitamin E supplements are almost exclusively made of α -tocopherol and little investigation into the efficacy of supplementation with γ -tocopherol has been conducted.

The therapeutic benefits of vitamin E supplementation remains a subject of considerable debate. Several studies have proposed that vitamin E supplementation may prevent a plethora of ills but many of these studies fail to provide causal connections between vitamer supplementation and therapeutic benefit; they merely indicate that a high dietary or plasma concentration and supplemental intake of vitamin E is associated with a reduced risk of disease. In fact, some studies have failed to demonstrate that tocopherol supplementation provides any protection from disease. (*Meydani and Mosen, The Lancet* 345(8943):170-175 (1995) and (*Christen et al. Proc. Natl. Acad. Sci.* 94: 3217-3222 (1997)). A reliable method to treat and prevent diseases associated with oxidative stress and vitamin E deficiency is highly desirable.

SUMMARY OF THE INVENTION

The present invention reveals the discovery of the therapeutic benefit of administering γ -tocopherol and γ -tocopherol derivatives such as LLU- α . The novel use of γ -tocopherol and γ -tocopherol derivatives as antioxidants and nitrogen oxide scavengers which treat and prevent high blood pressure, thromboembolic disease, cardiovascular disease, cancer, natriuretic disease, the formation of neuropathological lesions, and a reduced immune system response are disclosed.

One embodiment of the present invention is a medicament comprising γ -tocopherol and LLU- α with and without additional active ingredients that are effective in producing a natriuretic effect. Another embodiment is a medicament comprising γ -tocopherol, α -tocopherol, and LLU- α with and without additional active ingredients that are effective in

producing a natriuretic effect. A further embodiment is a medicament comprising γ -tocopherol, β -tocopherol, and LLU- α , with and without additional active ingredients that are effective in producing a natriuretic effect. Still further, an embodiment comprising α -tocopherol, γ -tocopherol, β -tocopherol, and LLU- α , with and without additional active ingredients that are effective in producing a natriuretic effect, is disclosed. In the alternative, the embodiments described above may include (S)-LLU- α or other γ -tocopherol derivatives instead of LLU- α .

According to the methods of treatment and prevention disclosed, the medicaments described above are administered to subjects suffering from high blood pressure, thromboembolic disease, atherosclerosis, cardiovascular disease, cancer, natriuretic disease, the formation of neuropathological lesions, and a reduced immune system response. One method involves the administration of a therapeutically beneficial amount of γ -tocopherol, with or without supplementation of LLU- α , to subjects suffering from a high blood pressure so as to treat and prevent this condition. By another method, a therapeutically beneficial amount of γ -tocopherol, with or without supplementation of LLU- α , is administered to treat and prevent thromboembolic disease. A related method to treat and prevent the aggregation of platelets and/or binding of platelets to adhesive proteins is also disclosed.

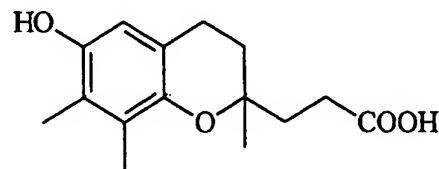
Another method contemplated by the present inventor involves the administration of a therapeutically beneficial amount of γ -tocopherol, with or without supplementation of LLU- α , to treat and prevent cardiovascular diseases, such as ischemia, angina, edematous conditions, atherosclerosis, LDL oxidation, adhesion of monocytes to endothelial cells, foam-cell formation, fatty-streak development, platelet adherence, platelet aggregation, smooth muscle cell proliferation, and reperfusion injury. Further, a method to treat and prevent cancers, such as lung cancer, prostate cancer, breast cancer, and colon cancer by administering a therapeutically beneficial amount of γ -tocopherol, with or without supplementation of LLU- α are presented.

Methods of treatment and prevention of natriuretic diseases, such as hypertension, high blood pressure, ischemia, angina pectoris, congestive heart failure, cirrhosis of the liver, nephrotic syndrome, ~~ineffective renal perfusion, or ineffective glomerular filtration,~~ by administering a therapeutically beneficial amount of γ -tocopherol, with or without supplementation of LLU- α are also provided. Additionally, methods of treating and

5 preventing neurological diseases including hyporeflexia, ophthalmoplegia, and axonal dystrophy using a therapeutically beneficial amount of γ -tocopherol, with or without supplementation of LLU- α , are described. Finally, methods to improve a subject's immune system response and a related method to reduce the production of free-radicals by
5 administering a therapeutically beneficial amount of γ -tocopherol, with or without supplementation of LLU- α , is revealed.

CHEMICAL STRUCTURE OF LLU- α

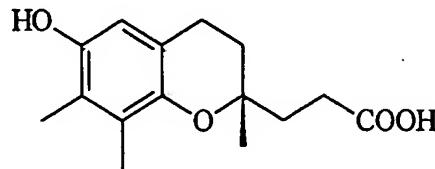
FORMULA A shows the structural formula of LLU- α .



10

(formula A)

FORMULA B shows the structural formula of (S)-LLU- α .



15 (formula B)

DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

In accordance with the present invention, a novel method for the treatment and prevention of high blood pressure, thromboembolic disease, atherosclerosis, cancer, natriuretic disease, the formation of neuropathological lesions, and a reduced immune system response is provided. The method involves administering orally or parenterally substantially pure γ -tocopherol or a formulation comprising γ -tocopherol and racemic
20 LLU- α , (S)-LLU- α , or other γ -tocopherol derivative.

By "LLU- α " is meant the compound 6-hydroxy-2,7,8-trimethylchroman-2-propanoic acid, molecular weight of 264.1362 and molecular formula of C₁₅H₂₀O₄. LLU- α
25 may be in the racemic form or as the S enantiomer (also denoted as (S)-LLU- α). A general discussion of the isolation and characterization of LLU- α is provided by Wechter et al.

(U.S. Pat. App. No. 08/290430) the disclosure of which is hereby incorporated by reference.

By "γ-tocopherol derivative" is meant γ-tocopherol metabolites and synthetic chroman derivatives including, but not limited to, LLU-α, LLU-γ, racemic chromans, 5 chroman methyl esters, chroman esters, chroman amides, R₄ chroman esters, oxidized chroman derivatives, racemic 2,5,7,8-tetramethyl-2-(β-carboxyethyl)-6-hydroxy chroman, 2,5,7,8-tetramethyl-2-(β-carboxyethyl)-chroman, 2,7,8-trimethyl-2-(β-carboxyethyl) chroman, racemic 4-methyl-6-(5,6-dimethylbenzohinoyl)-4-hexanolid, 4-Methyl-6-(3,5,6-trimethylbenzochinoyl)-4-hexanolid, (S)-4-Methyl-6-(5,6-dimethylbenzochinoyl)-4-10 hexanolid, 2,7,8-Trimethyl-2-(β-carboxyethyl)-6-acetyl chroman, 2,7,8-Trimethyl-2-(β-carboxyethyl)-6-acetyl chroman methyl ester, and benzodipyran methyl ester. Many γ-tocopherol derivatives are natriuretic compounds but the meaning of "γ-tocopherol derivative" is not intended to be limited to only natriuretic compounds. Other γ-tocopherol metabolites and synthetic chroman derivatives 15 may be known by those of skill in the art or will be discovered in the future and are encompassed by this definition.

By "natriuretic disease" is meant diseases associated with abnormal excretion of sodium from the body. The term natriuretic disease includes but is not limited to hypertension, high blood pressure, ischemia, angina pectoris, congestive heart failure, 20 cirrhosis of the liver, nephrotic syndrome, ineffective renal perfusion, and ineffective glomerular filtration, or any combination thereof. Other forms of natriuretic disease will be apparent to those of skill in the art and are encompassed by the definition as used in this invention.

As used herein, the term "natriuretic compound" refers to a compound which 25 increases the rate of sodium excretion without contributing to significant potassium loss in a mammal upon administering the compound to the mammal. The term "natriuretic compound" also refers to both the native compound and *in vitro* or *in vivo* modifications which retain natriuretic activity. It is understood that limited modifications, substitution or deletions of functional groups may be made without destroying the biological activity. 30 Moreover, it will be recognized by those skilled in the arts of chemistry and pharmaceutical preparation that many derivatives can be made which are biologically and chemically

equivalent to, or even more active than, the indicated compounds hereinafter. Examples of equivalent compounds include esters, ethers, amides and salts of the foregoing compounds.

“Substantially purified,” when used to describe the state of the natriuretic compound, denotes the compounds essentially free of proteins, steroids, and other material 5 normally associated or occurring with natriuretic compounds in its native environment.

As used herein, the term “post salt peak” refers to material eluted from a G-25 Sephadex column which appears immediately after the sodium, potassium ,urea and creatinine containing fractions which has uv. absorbance at 290 nm.

A material is “biologically active” if it is capable of increasing natriuresis in an *in* 10 *vivo* assay as described herein.

By “thromboembolic disease” is meant diseases characterized by platelet aggregation, platelet adhesion to adhesive proteins, or platelet hyperactivity. Although thromboembolic disease is commonly associated in insulin-dependent diabetic patients, this understanding is not intended to limit the invention. Elderly patients and patients suffering 15 from various forms of cardiovascular disease exhibit platelet aggregation, platelet adhesion to adhesive proteins, and platelet hyperactivity which can be defined as forms of thromboembolic disease for the purposes of this invention. Other forms of thromboembolic disease will be apparent to those of skill in the art and are encompassed by the definition used in this invention.

20 By “cardiovascular disease” is meant diseases associated with the cardio-pulmonary and circulatory systems including but not limited to ischemia, angina, edematous conditions, atherosclerosis, LDL oxidation, adhesion of monocytes to endothelial cells, foam-cell formation, fatty-streak development, platelet adherence, and aggregation, smooth muscle cell proliferation, reperfusion injury, and other conditions known by those of skill in 25 the art to be related to the pathogenesis of cardiovascular disease.

By “cancer” is meant diseases that have been associated with mutagenesis, cell transformation, oncogenesis, neoplasia, or metastasis, including but not limited to, various forms of lung cancer, prostate cancer, breast cancer, and colon cancer, or any combination thereof. Other forms of cancer will be apparent to those of skill in the art and are 30 encompassed by the definition used in this invention.

By “neurological disease” is meant diseases associated with the brain and nervous system, including but not limited to, hyporeflexia, proprioception, ophthalmoplegia, and

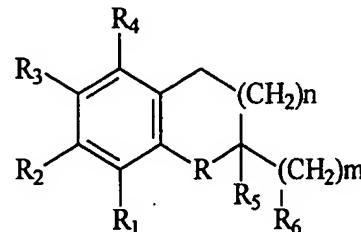
axonal dystrophy. Other forms of neurological diseases will be apparent to those of skill in the art and are encompassed by the definition as used in this invention.

Gamma-tocopherol is a water-insoluble, non swelling amphiphile, as are triglycerides and cholesterol. Thus, many of the processes involved in the absorption of 5 lipids are also required for absorption of γ -tocopherol such as emulsification, solubilization within mixed bile salt micelles, uptake by the small intestine, packaging within lipoprotein particles, and secretion into the circulation via the lymphatic system. Gamma-tocopherol is transferred to tissues in much the same manner as other lipids and spontaneous transfer and exchange of tocopherol between cell membranes has been documented. Since γ -tocopherol 10 is rapidly absorbed in the lipids of various tissues including the liver, its antioxidant and radical scavenger activities primarily occur in the lipid phase and only tangentially in the aqueous phase. LLU- α , on the other hand, is considerably more hydrophilic than γ -tocopherol and acts as an antioxidant, a natriuretic compound, and radical scavenger in primarily the aqueous phase. Thus, the present inventor contemplates a method to treat and 15 prevent disease which employs supplements comprising γ -tocopherol with and without fortification with racemic LLU- α , (S)-LLU- α , or other γ -tocopherol derivative so as to selectively provide natriuretic redox agents to the lipid and aqueous phases of a patient's body.

The preparation of soft gelatin capsules comprising commercially available 20 γ -tocopherol in doses of 200 to 800 mg is understood by those of skill in the art. The γ -tocopherol may be present as the free alcohol or the acetate or succinate ester. A supplement of γ -tocopherol preferably contains at least 60-65% (weight to weight) γ -tocopherol and up to 10% α -tocopherol and 25% β -tocopherol as isolated from soy oil, or in certain circumstances up to 25% δ -tocopherol. Particularly preferred compositions 25 include at least 70% γ -tocopherol. These formulations are only intended to guide one of skill in the art and formulations of γ -tocopherol that would be effective for use in the disclosed methods may include as low as 50% (weight to weight) γ -tocopherol or up to 100% (weight to weight) γ -tocopherol, but desirably contain 55% (weight to weight) γ -tocopherol to 95% (weight to weight) γ -tocopherol.

30 In another embodiment of this invention, soft gelatin capsules comprising commercially available γ -tocopherol are fortified with a natriuretic compound such as

LLU- α , (S) LLU- α , or other γ -tocopherol derivative some of which may be present as the free acid or a simple ester. One aspect of the invention, for example, comprises a natriuretic compound having the formula I:



5

(formula I)

in which

- R is O, S, SO_2 , a secondary or tertiary amine group, a phosphate group, a phosphoester group, or an unsubstituted or substituted methylene group,
- R_1 and R_2 independently are H, OH, alkyl, aryl, alkenyl, alkynyl, aromatic, ether, ester, unsubstituted or substituted amine, amide, halogen or unsubstituted or substituted sulfonyl, or jointly complete a 5- or 6-member aliphatic or aromatic ring,
- R_3 and R_4 independently are H, OH, alkyl, aryl, alkenyl, alkynyl, aromatic, ether, ester, unsubstituted or substituted amine, amide, halogen or unsubstituted or substituted sulfonyl, or jointly complete a 5- or 6-member aliphatic, aromatic or heterocyclic ring,
- R_5 is H, OH, alkyl, aryl, alkenyl, alkynyl, aromatic, ester or unsubstituted or substituted amine,
- R_6 is $COOH$, $COOR_7$, $CONH_2$, $CONHR_7$, $CONR_7R_8$, NH_2 , NHR_7 , NR_7R_8 , or a carboxylate salt,
- R_7 and R_8 independently are unsubstituted or substituted alkyl, aryl, alkaryl, aralkyl, alkenyl or alkynyl,
- n is 0 to 3, and
- m is 0 to 5.

25 As used herein, the term "substituted" denotes the presence of one or more substituent such as alkyl, aryl, alkaryl, aralkyl, ether or halogen. More particular substituents include C_{1-6} unbranched or branched alkyl, such as methyl, ethyl, propyl, n-butyl, sec-butyl and tert-butyl, and C_{6-12} aryl, particularly phenyl.

In a preferred embodiment, R is O. Also preferably, n = 1. Preferably, m = 2.

R₆ preferably is COOH.

Preferably, R₃ is H or OH. Also preferably, R₄ is H or CH₃.

In a preferred embodiment, R₁, R₂ and R₅ are CH₃.

5 Exemplary preferred compounds of formula I include those in which R is O, R₁, R₂ and R₅ are CH₃, R₃ is OH, R₄ is H or CH₃, R₆ is COOH, n = 1 and m = 2.

Other exemplary preferred compounds of formula I includes those in which R is O, R₁, R₂ and R₅ are CH₃, R₃ is H, R₄ is H or CH₃, R₆ is COOH, n = 1 and m = 2.

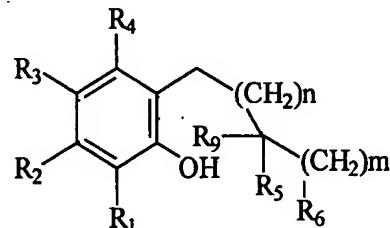
In a preferred embodiment, R₇ is a C₁₋₆ alkyl group, in particular CH₃.

10 In another preferred embodiment, R₃ is OH.

Compounds used in the present invention can also be obtained by modifying the above recited formula in numerous ways while preserving natriuretic activity. Examples of such active derivatives include compounds of formulae II-V, below.

15 In all formulae described herein, moieties having like designations are considered to correspond to each other as like moieties in related compounds.

Another aspect of the invention comprises natriuretic compounds having the formula II:



(formula II)

20 wherein

R₁ and R₂ independently are H, OH, alkyl, aryl, alkenyl, alkynyl, aromatic, ether, ester, unsubstituted or substituted amine, amide, halogen or unsubstituted or substituted sulfonyl, or jointly complete a 5- or 6-member aliphatic or aromatic ring,

25 R₃ and R₄ independently are H, OH, alkyl, aryl, alkenyl, alkynyl, aromatic, ether, ester, unsubstituted or substituted amine, amide, halogen or unsubstituted or substituted sulfonyl, or jointly complete a 5- or 6-member aliphatic, aromatic or heterocyclic ring,

WO 00/35444

R_5 is H, OH, alkyl, aryl, alkenyl, alkynyl, aromatic, ester or unsubstituted or substituted amine,

R_6 is COOH, COOR₇, CONH₂, CONHR₇, CONR₇R₈, NH₂, NHR₇, NR₇R₈, or a carboxylate salt,

5 R_7 and R_8 independently are unsubstituted or substituted alkyl, aryl, alkaryl, aralkyl, alkenyl or alkynyl,

R_9 is hydroxyl or unsubstituted or substituted alkoxy,

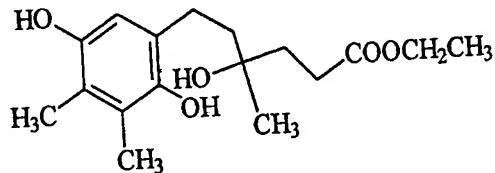
n is 0 to 3, and

m is 0 to 5.

10 In a preferred embodiment, R_1 , R_2 and R_3 are CH₃. Preferably, R_3 is OH. R_4 preferably is H. Additionally, it is preferred that $n = 1$. Preferably $m = 2$.

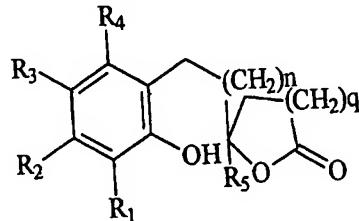
In a preferred embodiment, R_6 is COOCH₂CH₃ and R_9 is OH. In another preferred embodiment, R_6 is COOH and R_9 is CH₃CH₂O.

15 Specific examples includes the following:



(formula IIa)

A further aspect of the invention comprises natriuretic compounds having the formula III:



(formula III)

20 wherein

R_1 and R_2 independently are H, OH, alkyl, aryl, alkenyl, alkynyl, aromatic, ether, ester, unsubstituted or substituted amine, amide, halogen or unsubstituted or

substituted sulfonyl, or jointly complete a 5- or 6-member aliphatic or aromatic ring,

R₃ and R₄ independently are H, OH, alkyl, aryl, alkenyl, alkynyl, aromatic, ether, ester, unsubstituted or substituted amine, amide, halogen or unsubstituted or substituted sulfonyl, or jointly complete a 5- or 6-member aliphatic, aromatic or heterocyclic ring,

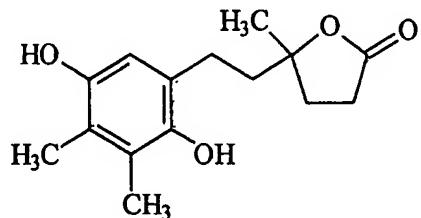
R₅ is H, OH, alkyl, aryl, alkenyl, alkynyl, aromatic, ester or unsubstituted or substituted amine,

n is 0 to 3, and

q is 0 to 4.

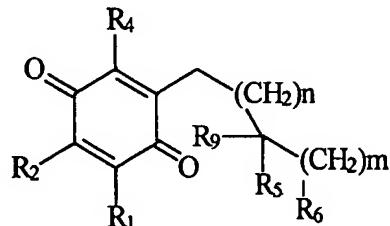
In preferred embodiments, n = 1. Also preferred are compounds in which m = 2.

Exemplary natriuretic compounds of formula III include the following:



(formula IIIa)

The instant invention comprises other natriuretic compounds having the formula IV:



(formula IV)

wherein

R₁ and R₂ independently are H, OH, alkyl, aryl, alkenyl, alkynyl, aromatic, ether, ester, unsubstituted or substituted amine, amide, halogen or unsubstituted or substituted sulfonyl, or jointly complete a 5- or 6-member aliphatic or aromatic ring,

R₄ is H, OH, alkyl, aryl, alkenyl, alkynyl, aromatic, ether, ester, unsubstituted or substituted amine, amide, halogen or unsubstituted or substituted sulfonyl,

R₅ is H, OH, alkyl, aryl, alkenyl, alkynyl, aromatic, ester or unsubstituted or substituted amine,

R₆ is COOH, COOR₇, CONH₂, CONHR₇, CONR₇R₈, NH₂, NHR₇, NR₇R₈, or a carboxylate salt,

R₇ and R₈ independently are unsubstituted or substituted alkyl, aryl, alkaryl, aralkyl, alkenyl or alkynyl,

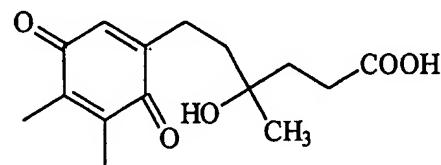
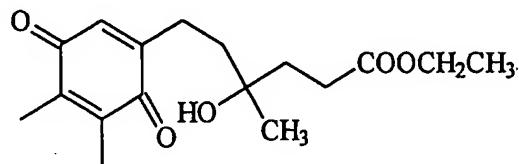
10 R₉ is hydroxyl or unsubstituted or substituted alkoxy,

n is 0 to 3, and

m is 0 to 5.

Preferably n = 1. Also, preferably m = 2.

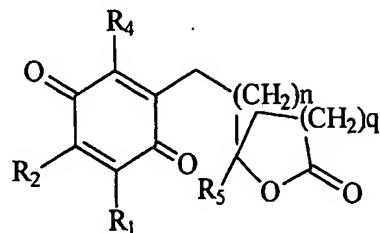
Specific compounds of the invention according to formula IV include:



15

(formula IVa)

Natriuretic compounds of formula V are also combined with γ -tocopherol to make the medicaments of the instant invention:



(formula V)

5 wherein

R₁ and R₂ independently are H, OH, alkyl, aryl, alkenyl, alkynyl, aromatic, ether, ester, unsubstituted or substituted amine, amide, halogen or unsubstituted or substituted sulfonyl, or jointly complete a 5- or 6-member aliphatic or aromatic ring,

10 R₄ is H, OH, alkyl, aryl, alkenyl, alkynyl, aromatic, ether, ester, unsubstituted or substituted amine, amide, halogen or unsubstituted or substituted sulfonyl,

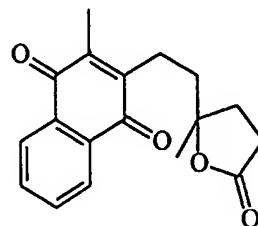
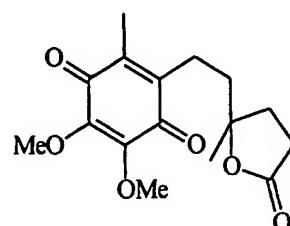
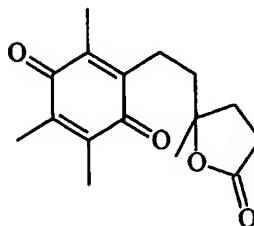
R₅ is H, OH, alkyl, aryl, alkenyl, alkynyl, aromatic, ester or unsubstituted or substituted amine,

15 n is 0 to 3, and

q is 0 to 4.

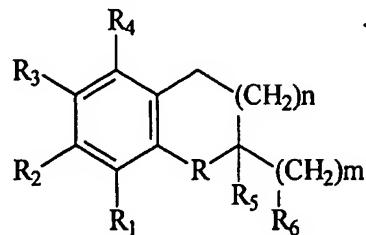
Preferred embodiments are those in which n = 1. Also, it is preferred that m = 2.

Included in the inventive compounds of formula V are:



(formula Va)

In accordance with another aspect of present invention, medicaments having the formula Ia and γ -tocopherol are contemplated.



(formula Ia)

5 in which

R is O, S, SO, SO₂, a secondary or tertiary amine group, a phosphate group, a phosphoester group, or an unsubstituted or substituted methylene group,

R₁ and R₂ independently are H, OH, alkyl, aryl, alkenyl, alkynyl, aromatic, ether, ester, unsubstituted or substituted amine, amide, halogen or unsubstituted or substituted sulfonyl, or jointly complete a 5- or 6-member aliphatic or aromatic ring,

R₃ and R₄ independently are H, OH, alkyl, aryl, alkenyl, alkynyl, aromatic, ether, ester, unsubstituted or substituted amine, amide, halogen or unsubstituted or substituted sulfonyl, or jointly complete a 5- or 6-member aliphatic, aromatic or heterocyclic ring,

R₅ is H, OH, alkyl, aryl, alkenyl, alkynyl, aromatic, ester or unsubstituted or substituted amine,

R₆ is COOH, COOR₇, CONH₂, CONHR₇, CONR₇R₈, NH₂, NHR₇, NR₇R₈, or a carboxylate salt,

20 R₇ and R₈ independently are unsubstituted or substituted alkyl, aryl, alkaryl, aralkyl, alkenyl or alkynyl,

n is 0 to 3, and

m is 0 to 5.

In preferred embodiments,

25 (i) when R is O, R₁, R₂ and R₅ are CH₃, R₃ and R₆ are OH, and R₄ is H, m = 2 to 5;

(ii) when R is O R₁ is H or CH₃, R₂ is H, CH₃, C(CH₃)₃ or CH(CH₃)₂, R₃ is OH or CH₃COO, R₄ is CH₃ or CH(CH₃)₂, R₅ is H, CH₃ or CH₂CH₃, and R₆ is H, OH, OCH₃, OCH₂CH₃ or NH₂, m = 1 to 5;

- (iii) when R is O, R₁ and R₅ are CH₃, R₂ and R₄ are H, R₃ is OH or CH₃COO, and R₆ is OH or CH₃O, m is not 2;
- (iv) when R is O, R₁, R₂ and R₃ are CH₃, R₃ is OH or CH₃COO, R₄ is alkyl having at least two carbon atoms, and R₆ is H, OH or ester, m = 1; and

5 (v) when R₁, R₂ and R₅ are methyl, R₃ and R₆ are OH and R₄ is alkyl, m = 2.

Certain medicaments of the present invention comprise natriuretic compounds that have been isolated in substantially pure form. The natriuretic compounds are obtained from a variety of sources, including urine, hypothalamus, adrenal, liver, kidney, plasma, blood and cultured cells. Human uremic urine is the preferred source, although normal human
10 urine or hypertensive human urine may also be used.

One of the isolated natriuretic compounds used to make a medicament of the present invention is LLU- α . (See Figures 1 and 2). LLU- α has the following properties: a major ultraviolet absorbance peak at about 210 nm; a broad secondary peak at about 295 nm; instability in dilute base; capability of esterification by reaction with CH₂N₂. The
15 compound is capable of increasing sodium excretion in the urine in mammals without a corresponding increase in potassium excretion, and does not cause a significant change in mean arterial pressure. The compound additionally acts as a cardio-selective free radical scavenger.

Medicaments of the instant invention also comprise another isolated natriuretic
20 compound, named LLU- γ , which has the following properties: a major ultraviolet absorbance peak at about 220 nm; a secondary peak at about 268 nm; high instability in the presence of O₂ or in dilute base. It is capable of increasing sodium excretion in mammalian urine without a corresponding increase in potassium excretion, although potassium excretion (kaliuresis) may be observed occasionally after infusion of the compound into
25 conscious rats. In addition, it does not cause a significant change in mean arterial pressure and it shows no inhibition of the sodium pump.

Natriuretic compounds which comprise the present invention can be purified by a number of methods, particularly those exemplified herein. In a preferred method within the invention, collected urine is processed by ultrafiltration (\leq 3 kDa), gel filtration chromatography (G-25) and extraction with isopropanol and diethyl ether. The organic soluble material is then subjected to sequential high-performance liquid chromatography, while assaying for the natriuretic, activity *in vivo*. Alternatively, collected urine is

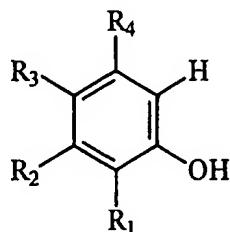
extracted with ether, separated by high performance liquid chromatography, and fractions are assayed for natriuretic activity.

In a further alternative embodiment, the natriuretic compounds in the medicaments of the present invention can be synthesized using methods known to those skilled in the art.

5 One such method is the method described by J. Weichert et al., Czech. Chem. Commun. 24, 1689-1694 (1959), the disclosure of which is hereby incorporated by reference. This method can readily be adapted by one of ordinary skill in the art to provide a method of synthesizing the compounds of the present invention. Other methods to synthesize the natriuretic compounds of the present invention are disclosed in Wechter et al., *Proc. Natl. Acad. Sci. USA* 93:6002-6007 (1996) and Kantoci et al., *J. Pharmacology and Experimental Therapeutics* 282:648-656 (1997) which are hereby incorporated by reference.

10

A preferred synthetic method includes the step of reacting a compound of the formula VI:



15

(formula VI)

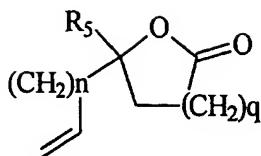
in which

R₁ and R₂ independently are H, OH, alkyl, aryl, alkenyl, alkynyl, aromatic, ether, ester, unsubstituted or substituted amine, amide, halogen or unsubstituted or substituted sulfonyl, or jointly complete a 5- or 6-member aliphatic or aromatic ring, and

20 R₃ and R₄ independently are H, OH, alkyl, aryl, alkenyl, alkynyl, aromatic, ether, ester, unsubstituted or substituted amine, amide, halogen or unsubstituted or substituted sulfonyl, or jointly complete a 5- or 6-member aliphatic, aromatic or heterocyclic ring,

25

with a vinyl lactone of the formula VII:



(formula VII)

in which

- R_5 is H, alkyl, aryl, alkenyl, alkynyl, aromatic or ester,
- 5 n is 0 to 3, and
- q is 0 to 4.

In a preferred embodiment of the foregoing synthesis, R_5 is OH. Preferably, R_4 is not simultaneously OH. A preferred compound of formula VI is a hydroquinone, for example 2,3-dimethyl-1,4-hydroquinone.

10 A preferred vinyl lactone of formula VII is γ -methyl- γ -vinylbutyrolactone ($R_5 = CH_3$, $n = 1$, $q = 1$).

In carrying out the foregoing reaction, preferably a catalyst is used, such as a metallic or non-metallic salt. Specific types of catalyst include non-metallic salts which form complexes with a solvent, particularly a catalyst such as boron trifluoride diethyl etherate.

In carrying out the foregoing reaction, preferably an aprotic or protic solvent is employed, in particular an aprotic solvent such as dioxane. The catalyst and/or the vinyl lactone is preferably diluted in the selected solvent.

20 Preferably the synthesis is carried out at an elevated temperature, such as 100-110°C.

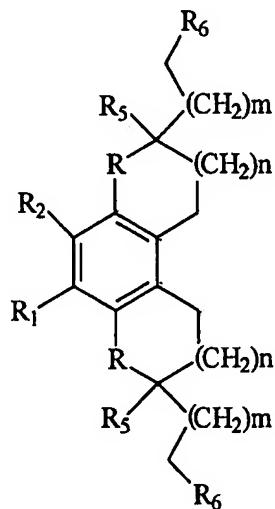
In a preferred embodiment, the foregoing reaction mixture is diluted with an aprotic or protic solvent, particularly an aprotic solvent such as diethyl ether.

The desired product preferably is obtained from concentrated supernatant which is purified, for example, using an RP-HPLC column or silica gel. Preferred eluents for RP-HPLC include mixtures of water, acetonitrile and acetic acid. Preferred solvents for silica gel include ethyl acetate and hexane. Other purification methods, such as crystallization, can be used. Also, other eluents, such as hexane and dimethyl ketone, can be employed.

30 The foregoing synthesis produces a racemic mixture, of which typically one enantiomer is active while the second enantiomer is less active or inactive. The racemate can be employed in compositions according to the invention, with adjustment of the

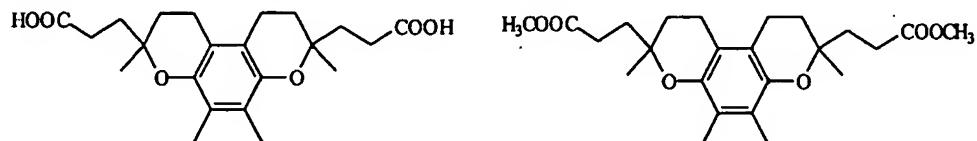
quantity to account for the presence of the inactive enantiomer. Alternatively, the racemate can be resolved using conventional methods, and the active enantiomer identified and utilized. All enantiomeric forms of the compounds described herein are specifically contemplated as being within the scope of the instant invention.

5 As a byproduct of the foregoing synthesis, derivative compounds of formula VIII are produced:



(formula VIII)

These compounds can also be employed as natriuretic compounds which comprise the
10 medicament according to the instant invention. Exemplary compounds of formula VIII include the following benzodipyrane derivatives:



(formula VIIIa)

All stereoisomeric forms of the foregoing compounds, including meso compounds
15 and diastereomeric pairs, are specifically contemplated as being within the scope of the instant invention.

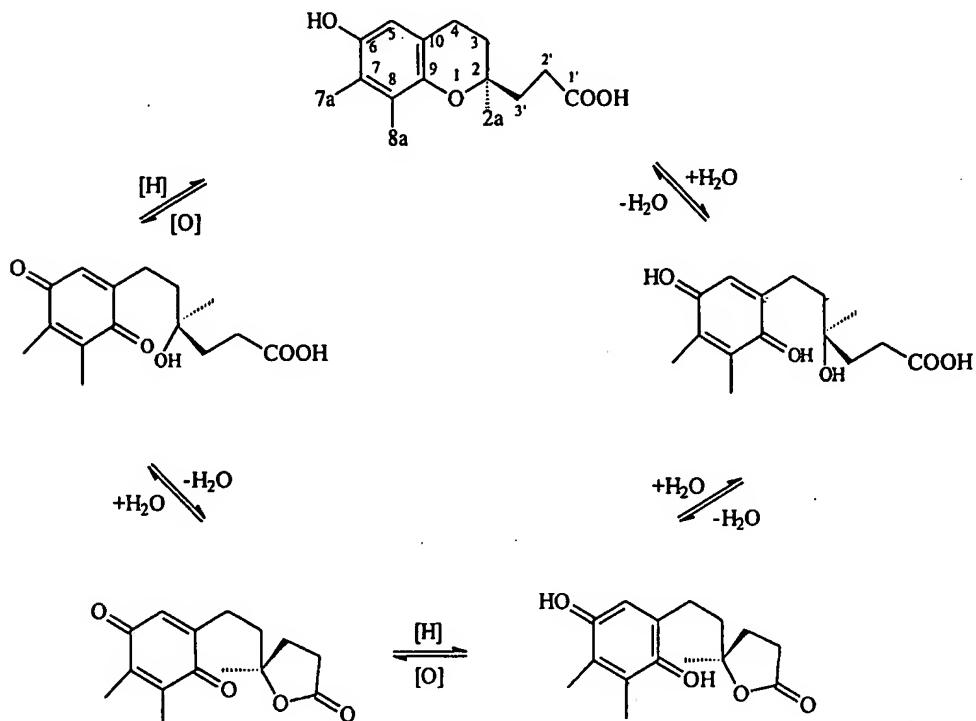
Di-oxidized and/or di-hydrated derivatives of the compounds of formula VIII can be obtained in a manner analogous to those used to obtain compounds of formulae II-V from the compounds of formula I.

As mentioned previously, natriuretic compounds which comprise the medicaments of the instant invention can be modified by formation of esters, amides, etc. Esterification can be carried out, for example, by reaction with a solution of a diazoalkane, or with an anhydride or an acyl chloride. Amides can be formed by reaction with ammonia or an amine.

Natriuretic compounds of formulae II-V can be derived from the corresponding natriuretic compounds produced by the foregoing method, for example, by oxidation. In a preferred embodiment of this process, when R₄ = H, R₅ is not CH₃.

A preferred oxidizer for the foregoing method is a solution of ferric chloride. Other 10 oxidants, such as KMnO₄, SeO₂, CrO₃, H₂O₂, m-chloroperbenzoic acid, Caro acid, OsO₄, HIO₄, potassium ferricyanide, silver chromate or sodium perborate, can also be used.

Scheme 1 illustrates the relationship between exemplary compounds of formulae I-V. Note that Scheme 1 depicts the relationships between the S-enantiomers. The same 15 relationships exist between the corresponding R-enantiomers. A wide variety of natriuretic compounds within the scope of the instant invention can be obtained in the manner illustrated.



(Scheme 1)

Formulations of medicaments comprising γ -tocopherol and LLU- α , (S)-LLU- α , or other γ -tocopherol derivatives, detailed above, are as follows. Racemic LLU- α is synthesized or isolated and may be present as the free acid or a simple ester. Racemic LLU- α is added to the differing concentrations of γ -tocopherol with or without a suitable 5 filler. A supplement comprising γ -tocopherol and racemic LLU- α preferably contains 5% to 95% (weight to weight) γ -tocopherol mixed with 5% to 95% racemic LLU- α , and may also include other tocopherols. More preferably, the compositions of this embodiment of the invention include between 25% and 60% racemic LLU- α , or still more preferably no more than 50% (weight to weight) racemic LLU- α . A particularly preferred composition 10 includes 26% (weight to weight) racemic LLU- α with the remaining amount of the supplement being composed of tocopherols and a suitable filler, with at least 65% of the tocopherols being γ -tocopherol.

Soft gelatin capsules comprising commercially available γ -tocopherol are fortified with (S)-LLU- α using the same compositions, above. (S)-LLU- α is synthesized or isolated, 15 as detailed above or in the following examples, and may be present as the free acid or a simple ester. (S)-LLU- α is added to the formulations of the γ -tocopherol supplements mentioned above with or without a suitable filler. A supplement comprising γ -tocopherol and (S)-LLU- α preferably contains 5% to 95% (weight to weight) γ -tocopherol mixed with 5% to 95% (S)-LLU- α , and may also include other tocopherols. More preferably, the 20 compositions of this embodiment of the invention include between 25% and 60% (S)-LLU- α , or still more preferably no more than 50% (weight to weight) (S)-LLU- α . A particularly preferred composition includes 26% (weight to weight) (S)-LLU- α with the remaining amount of the supplement being composed of tocopherols and a suitable filler, with at least 65% of the tocopherols being γ -tocopherol.

25 Alternatively, soft gelatin capsules comprising commercially available γ -tocopherol are fortified with a γ -tocopherol derivative. The γ -tocopherol derivative is synthesized or isolated, as detailed above or in the following examples, and may be present as the free acid or a simple ester. An γ -tocopherol derivative is added to the formulations of the γ -tocopherol supplements mentioned above with or without a suitable filler. A supplement 30 comprising γ -tocopherol and a γ -tocopherol derivative preferably contains 5% to 95% (weight to weight) γ -tocopherol mixed with 5% to 95% γ -tocopherol derivative, and may

also include other tocopherols. More preferably, the compositions of this embodiment of the invention include between 25% and 60% γ -tocopherol derivative, or still more preferably no more than 50% (weight to weight) γ -tocopherol derivative. A particularly preferred composition includes 26% (weight to weight) γ -tocopherol derivative with the
5 remaining amount of the supplement being composed of tocopherols and a suitable filler, with at least 65% of the tocopherols being γ -tocopherol. Other tocopherols can be included in the formulations, including α -tocopherol, β -tocopherol and δ -tocopherol. In certain circumstances, δ -tocopherol can substitute for γ -tocopherol in the formulations and methods described herein.

10 The preferred method of administering principally γ -tocopherol or the formulation comprising γ -tocopherol and racemic LLU- α , (S)-LLU- α , or γ -tocopherol derivative is orally via soft gelatin capsules, however, several methods of administering these therapeutics would be within the skill of one in the art. Gamma-tocopherol or the formulations mentioned above can be administered neat, as mixtures with other
15 physiologically acceptable active or inactive materials such as moistening agents, flavoring agents, binding agents, and extenders, as well as other compounds having pharmacological activities, such as other diuretics which increase the distal delivery of sodium, other anti-cancer therapeutics, other high blood pressure medicaments, other anti-hypertensive agents, or other mixtures of tocopherols. It may also be administered with physiologically suitable
20 carriers such as, for example, olive oil, sesame oil, or other lipid. The compounds can be administered orally or parenterally, for example, by injection. Injection can be subcutaneous, intravenous, or by intramuscular injection.

The total daily dose of 200-800 mg can consist of a single individual dose or multiple doses given at intervals. Dosages within these ranges can also be administered by
25 constant infusion over an extended period of time, usually exceeding 24 hours, until the desired therapeutic benefits have been obtained. Amounts of the compounds described herein which are therapeutically effective against specific diseases can also be determined through routine investigation.

The following examples are intended to illustrate, but not limit the invention.
30 While they are typical of those that might be used, other procedures known to those skilled in the art may be alternatively employed. In the examples, the following abbreviations are used:

	EI	electron impact
	FR	furosemide response
	FT-IR	Fourier-transform infrared spectroscopy
	HPLC	high performance liquid chromatography
5	MAP	mean arterial pressure
	MDBK	Madin-Darby bovine kidney
	MS	mass spectrometry
	NMR	nuclear magnetic resonance
	PBS	phosphate buffered saline
10	R _n	natriuretic ratio
	RP-HPLC	reverse-phase high performance liquid chromatography
	SR	sample response
	UNaV	urine concentration of sodium X urine volume per time

15 ISOLATION OF NATRIURETIC COMPOUND

EXAMPLE 1

Human uremic urine was initially processed by ultrafiltration (3 kDa) and lyophilization, followed by isolation of the post-salt fraction from Sephadex G-25 gel filtration chromatography, following the procedure of Benaksas et al., *Life Sci.* 52, 1045-20 1054 (1993), the entire disclosure of which is herein incorporated by reference. See Table I (first purification step).

The crude material was further purified by one of two procedures. One procedure involved four sequential HPLC steps, and the second procedure included organic solvent extraction followed by up to five sequential HPLC steps. Table I summarizes the two 25 methods.

TABLE 1

Summary of steps used in the chromatographic and extraction isolation procedures

Purification Step	Chromatographic Method	Extraction Method
First	3K ultrafiltration, lyophilization and G-25	3K ultrafiltration, lyophilization and G-25
Second	0.2 M pyridinium acetate pH 5.5/Methanol C ₁₈ RP-HPLC	Sequential extraction with isopropanol/diethyl ether yielding soluble compounds

Third	1st 0.2 M acetic acid/methanol C_{18} RP-HPLC	1st 0.2 M acetic acid/methanol C_{18} RP-HPLC
Fourth	2nd (modified) 0.2 M acetic acid/methanol C_{18} RP-HPLC	2nd (modified) 0.2 M acetic acid/methanol C_{18} RP-HPLC ^b
Fifth	Isopropanol/hexane ^a	Isocratic 0.2 M acetic acid/methanol ^c
Sixth		Isopropanol/hexane silica gel HPLC
Seventh		50 mM acetic acid/acetonitrile C_{18} RP-HPLC ^d

^aAmount of resulting material of LLU- γ was so small that further purification was not pursued.

5 ^bLLU- γ was further purified by a chromatography step not used in the main purification scheme.

 This HPLC step was only used for isolation of LLU- α .

10 ^cLLU- α methyl ester was also purified using these HPLC conditions.

1. Chromatographic Isolation Method

A four-step sequential HPLC procedure was employed which was a modification of the procedure reported by Benaksas et al., noted above. The first C_{18} RP-HPLC (Table 1, step 2) was performed on a Beckman Ultrasphere ODS column (10 μ m; 21.2 x 150 mm) eluting at 6 mL/minute with a gradient of 0.2 M pyridinium acetate, pH 5.5 (A) and methanol (B) (80% A:20% B for 22 minutes, a linear gradient to 40% A:60% B over 48 minutes, a linear gradient to 100% B over 10 minutes). The column was washed with 70% toluene:30% methanol, then re-equilibrated at initial conditions for at least 20 minutes.

20 This column wash method was implemented in every chromatography employing a methanol eluant. The eluant was monitored with a Beckman 166 UV detector at 290 nm. Eighty (80) one-minute fractions were collected and dried under reduced pressure in a centrifugal vacuum concentrator.

Based on bioassay evaluation (see Example 2, below) and chromatographic comparison of previous HPLC runs, fractions 50-80, were combined for the second RP-HPLC step (Table 1, third step). A Beckman Ultrasphere ODS (C_{18}) column (5 μ m; 10 x 250 mm) was eluted at 2 mL/minute with a gradient of 0.2 M acetic acid (A), methanol (B) and 70% toluene: 30% methanol (C), (60% A:40% B for 5 minutes, a linear gradient to 50% A:50% B over 5 minutes, a linear gradient to 30% A:70% B over 55 minutes, a linear

gradient to 100% B over 2 minutes, 100% B for 3 minutes, 100% C for 8 minutes, 100% B for 7 minutes). The eluant was monitored for fluorescence (exc. 310-410 nm; emm. 475-610 nm: Beckman 157 detector) and absorbance at 290 nm with a Beckman 168 diode array detector. Ultraviolet spectra were collected by diode array at 2 second intervals over
5 the range of 202-390 nm. Eighty (80) one-minute fractions were collected.

As discussed in detail in Example 2, two natriuretically active isolates (LLU- α and LLU- γ) in particular were identified. The region encompassing the two natriuretically active isolates was pooled and rechromatographed using a modified acetic acid/methanol gradient for the third RP-HPLC (Table 1, fourth step). The solvents and column were the
10 same as the second RP-HPLC above; however, the gradient was changed (60% A:40% B for 5 minutes, a linear gradient to 40% A:60% B over 5 minutes, a linear gradient to 30% A:70% B over 28 minutes, a linear gradient to 100% B over 2 minutes, 100% B for 3 minutes, 100% C for 8 minutes) and only fifty (50) one-minute fractions were collected.

During the first aqueous acetic acid-methanol RP-HPLC step (Table 1, third step),
15 chromophore markers corresponding to natriuretically active materials could be identified when processing different batches of urine. By rechromatographing fractions 38-58 and 63-66 using a modified acetic acid-methanol method (Table 1, fourth step) employing a shorter gradient, the two natriuretically active marker chromophores, designated LLU- α and LLU- γ , reproducibly eluted at 27.8 and 35.4 minutes, respectively. This fourth
20 purification step allowed consistent identification of natriuretically active crude isolates.

The LLU- α natriuretic isolate was subjected individually to normal phase chromatography on silica gel (Beckman Ultrasphere, 5 μ m, 10 X 250 mm) eluting at 2 mL/minute with a hexane (B) isopropanol (A) gradient (6% A:94% B for 25 minutes, a linear gradient to 100% A over 30 minutes, 100% A for 20 minutes, a linear gradient to 6%
25 A:94% B over 5 minutes and an equilibration period at 6% A:94% B for 35 minutes). Seventy (70) one-minute fractions were collected from this fifth purification step (Table 1). Fluorescence was monitored as described above. The wavelength monitored for each of the isolates was selected based upon its absorbance spectrum from the prior chromatogram. Chromatography of the first Isolate (LLU- α) was monitored at 295 nm and that of the
30 second (LLU- γ) at 267 nm. Fractions exhibiting UV absorbance characteristic of LLU- α and LLU- γ were bioassayed (see below).

2. Extraction Method

Freeze-dried material obtained from the gel filtration chromatography was stirred with 9 volumes of isopropanol for 18 hours. The isopropanol solution was then removed and evaporated to dryness on a rotary evaporator under reduced pressure. The resulting
5 thick, dark brown oil from the isopropanol soluble phase was weighed and then alternately stirred and sonicated for 6 hours and finally stirred for an additional 18 hours, with 10 volumes of diethyl ether. The ether solution was then decanted and 4 volumes of ether were added to the remaining insoluble material. After stirring for 72 hours, the ether solution was again decanted. Two volumes of deionized distilled water and 2 volumes of diethyl ether
10 were added to the residue. After stirring for 2 hours, the ether phase was separated and the aqueous phase was washed three times with one volume of ether. The combined ether extracts were washed with saturated aqueous NaCl and water, and taken to dryness on a rotary evaporator under reduced pressure. The residue was redissolved in 95% ethanol and again taken to dryness.

15 The ether extraction residue was dissolved in 40% aqueous methanol and subjected to acetic acid-methanol RP-HPLC (Table 1, third step). The chromatographic region from LLU- α to LLU- γ , as identified by their characteristic UV spectra, was pooled, dried, re-suspended and chromatographed on the second modified acetic acid-methanol RP-HPLC (Table 1, fourth step). Only LLU- α and LLU- γ were detected after this chromatography
20 step.

Isocratic acetic acid-methanol RP-HPLC (Table 1, fifth step) was then performed on LLU- α . Employing a Beckman Ultrasphere ODS (C_{18}) column (5 μ m; 10 X 250 mm), LLU- α was eluted at 2 mL/minute with 45% 0.2 M acetic acid and 55% methanol for 35 minutes collecting seventy (70) half-minute fractions. The eluant was monitored for
25 absorbance at 290 nm (diode array) and fluorescence. LLU- α was identified by its UV spectrum and subjected to silica gel HPLC (Table 1, sixth step).

The fractions containing LLU- α from the silica gel HPLC were pooled and subjected to another C_{18} RP-HPLC step. In this seventh purification step (Table 1), a Beckman Ultrasphere ODS column (5 μ m; 4.6 X 250 mm) was eluted at 1 mL/minute with
30 a gradient of 50 mM acetic acid (A) and 45 mM acetic acid in acetonitrile (B) (85% A:15% B for 3 minutes, a linear gradient to 100% B over 42 minutes, 100% B for 5 minutes). The column was washed with 1:1 methylene chloride: acetonitrile for 5 minutes followed by re-

equilibration at initial conditions for 16 minutes. Chromatography was monitored at 265 and 295 nm with the diode array detector. Fifty (50) half-minute fractions were collected starting at 10 minutes.

The extraction purification procedure increased the yield of isolated LLU- α by 5 about 50%. In the chromatographic procedure, encompassing a total of five purification steps, less than 1 mg of LLU- α was obtained from about 105 g of lyophilized G-25 material (yield less than $9 \times 10^{-4}\%$). Approximately 1.8 mg of LLU- α resulted from the extraction procedure (seven purification steps) applied to about 155 g of lyophilized G-25 product (yield approximately $1.2 \times 10^{-3}\%$). The two additional RP-HPLC steps of this procedure 10 led to essentially pure LLU- α . Likewise, the yield of LLU- γ appeared to increase comparably.

LLU- γ from the modified acetic acid-methanol RP-HPLC chromatography step (Table 1, fourth step) can be further purified using a method compatible for LC-MS. In this purification step, a Beckman Ultrasphere ODS column (5 μm , 4.6 x 250 mm) was eluted 15 isocratically at 1 mL/minute with 0.1 % trifluoroacetic acid, 40% acetonitrile, and 60% water for 30 minutes. LLU- γ from the previous chromatographic step elutes at 16.5 minutes. Between runs the column is washed with 0.1 % trifluoroacetic acid in acetonitrile for 10 minutes, followed by reequilibration at initial conditions for 10 minutes. Chromatography was monitored at 265 and 230 nm with a diode array detector. LLU- γ was 20 collected as a single fraction.

TABLE II

Chemical characteristics of the natriuretic LLUs

	LLU- α	LLU- γ
Exact Mass	264.1373	ND ^a
Empirical Formula	C ₁₅ H ₂₀ O ₄	ND
UV Characteristics	λ_{max} 205nm λ_{max} 294nm	λ_{max} 220nm λ_{max} 268nm
Functional Groups Determined by IR	carboxyl hydroxyl aryl ether	ND
Physical Properties	Unstable in dilute Base Unstable in CDCl ₃	Unstable when Purified Very Unstable in Dilute Base

Reaction with CH ₂ N ₂	HNF- α methyl ester C ₁₄ H ₁₉ O ₂ CO ₂ CH ₃ MW 278.1515 + Other Products	ND
---	---	----

*ND: Not Determined

Isolated from early fractions of silica gel HPLC of LLU- α was the drug naproxen, which was being administered to some urine donors. Its identity was determined by NMR and verified by comparison with the NMR spectrum of commercial naproxen. Naproxen 5 serves as an additional marker during the silica gel HPLC.

3. Treatment of LLU- α with CH₂N₂

Diazomethane was generated by treatment of 1-methyl-3-nitro-1-nitosoguanidine (112 mg, 760 μ mol) with 400 μ L 50% KOH (aq). The diazomethane was distilled into 1 mL diethyl ether at -7°C. This solution was then added to 700 μ g (2.6 μ mol) LLU- α in 0.5 10 mL diethyl ether at 0°C. The reaction mixture was warmed to ambient temperature, then allowed to stand for 40 minutes. Solvent was removed under a stream of N₂ and the residue dissolved in 15% 45 mM acetic acid in acetonitrile/85% 50 mM acetic acid and subjected to the acetic acid -acetonitrile RP-HPLC purification step as described above (seventh 15 step). The approximate yield of the ester was 53%. Methyl esterification of LLU- α followed by RP-HPLC yielded essentially pure LLU- α methyl ester. The methyl ester was synthesized to further the characterization of LLU- α . LLU- α methyl ester eluted as an apparently homogenous single peak from acetic acid -acetonitrile RP-HPLC. A total of approximately 0.9 mg of LLU- α methyl ester was isolated and subjected to chemical 20 characterization by ultraviolet, infrared, ¹³C- and ¹H-NMR and mass spectroscopy. The physical chemical characteristics, molecular weight and inferred molecular formula of both LLU- α and its methyl ester are listed in Table II.

BIOASSAYS FOR BIOLOGICAL ACTIVITY

EXAMPLE 2

25 1. *In vivo* bioassay

The assay for natriuresis in conscious rats has been described previously (see Benaksas et al., above). The assay is briefly reiterated here. Female Sprague-Dawley (Harlan) rats (200-250 g) were cannulated in the femoral artery and vein for monitoring of mean arterial pressure (MAP) and Infusion of saline and samples, respectively. The bladder 30 was catheterized for collection of urine in ten-minute periods. Furosemide (0.4 mg/kg bwt;

1 mg/mL in 0.17 % saline) was infused as a positive control at the beginning of the sixth ten-minute period. The sample was infused at the beginning of the seventeenth ten-minute period. Urine was collected for another 150 minutes. The volume of the urine was determined gravimetrically and the Na⁺ and K⁺ concentrations determined with a Beckman 5 E2A electrolyte analyzer. From these data the sodium excretion values (UNaV) were calculated.

The natriuretic response of a sample was normalized to the dose of furosemide infused. The net sodium excretion for the infusion of furosemide or sample was calculated as follows. The median sodium excretion value ($\mu\text{moles Na}^+$ /10 minute period) for the five 10 periods before infusion of furosemide or sample was used to establish a baseline value for the calculation of ΔUNaV (= $\mu\text{moles Na}^+$ period - baseline $\mu\text{moles Na}^+$) for administration of either furosemide or sample respectively. The sum of ΔUNaV for the four periods following infusion of furosemide was the net sodium excreted for furosemide, defined as FR. The sum of ΔUNaV for the fifteen periods following infusion of the sample was the net 15 sodium excreted for the sample defined as SR. The natriuretic ratio R_n (or normalized natriuretic response) of a sample was calculated by dividing SR by FR ($R_n = SR/FR$). A sample is considered natriuretically active if the R_n value for that sample was greater than or equal to 0.67 (greater than 99% confidence limits).

Partially purified LLU- α from silica gel-HPLC (sixth purification step) was assayed 20 for natriuretic activity utilizing the *in vivo* bioassay. It was active in the 4-8 $\mu\text{g/kg}$ dose range and showed no activity at lower or higher doses (Table III). LLU- α is also active at 8 $\mu\text{g/kg}$ when evaluated in the *in vivo* bioassay after being further purified on acetic acid/acetonitrile RP-HPLC (seventh step of extraction method).

TABLE III

25 Dose response of LLU- α present in fractions from the silica gel HPLC step of the extraction procedure from uremic urine

Fraction	Dose (μg)	Natriuretic Response (R) ^a
17	0.2	-0.14
	1	0.27
	2	1.14
	2	0.75
	10	0.26

18	56.4 22.4	0.23 0.02
19	0.2	0.24
	1	0.93
	2	-0.10
	2	0.82
	10	0.09
20	2	1.32
	2	0.39
21	2	-0.06
	2	0.39

*Natriuretic ratio greater than 0.67 indicates that a sample is natriuretically active (99% confidence limits).

LLU- α and - γ when infused into the rat produced sustained natriuresis with no effect on blood pressure. LLU- γ has not been purified sufficiently to obtain a dose-response curve for natriuresis, owing to its instability. LLU- α displays a narrow and biphasic natriuretic dose-response curve (Table III). There was no detectable kaliuresis when LLU- α was infused. Some kaliuresis occurred after the infusion of LLU- γ , however, this was not always observed. Neither LLU- α nor - γ caused a significant Change in mean arterial pressure.

2. Na^+/K^+ -ATPase inhibition assay

The assay in MDBK cells has been described previously (see Benaksas et al., above). The assay is described briefly here. Madin-Darby bovine kidney (MDBK) cells (ATCC:CCL22) were maintained in Dulbecco's Modified Eagle's Medium (DMEM) with 5% Fetal Bovine Serum and 5% Bovine Calf Serum in a 5% CO_2 /95% humidified air atmosphere at 37°C and split (1:2) once per week.

One day before the assay, cells were plated in a 96-well plate at a density of 5×10^5 cells/well in DMEM with serum. On the day of the assay the medium was removed and the cells washed with phosphate buffered saline (PBS) before addition of 100 μL of assay media (122 mM NaCl, 1.8 mM CaCl₂, 0.8 mM MgSO₄, 24 mM NaHCO₃, 1 mM sodium pyruvate, 25 mM glucose, 14 mM glycylglycine, 0.2% phenol red, 8 mM Na₂HPO₄, 1.15

mM KH₂PO₄, pH 8.0) and 100 µl of sample. The plate was preincubated for 30 minutes at 37°C, then chilled on ice for 10 minutes. To each well was added 0.15 µCi ⁸⁶RbCl (Amersham) in 10 µL of assay media. The plate was then incubated at 37°C for 10 minutes. A portion (100 µL) of the supernatant was counted with 0.5 mL of scintillation cocktail in a liquid scintillation counter. As a control for Na⁺/K⁺-ATPase inhibition, a dose response curve for ouabain in the range of 10⁻⁵-10⁻⁸ M was obtained. Intra-experiment coefficient of variation for ouabain was 3-15%. Inhibition of ⁸⁶Rb⁺ uptake by samples was corrected for that uptake which was inhibitable by ouabain.

When LLU-α was assayed in the Na⁺/K⁺-ATPase inhibition assay it exhibited no inhibition in the range of 0.2-200 ng/well. Assay of crude LLU-γ obtained from the acetic acid-methanol RP-HPLC rechromatography step in the sodium pump inhibition assay showed no inhibition of the sodium pump.

ANALYTICAL SPECTROSCOPY

EXAMPLE 3

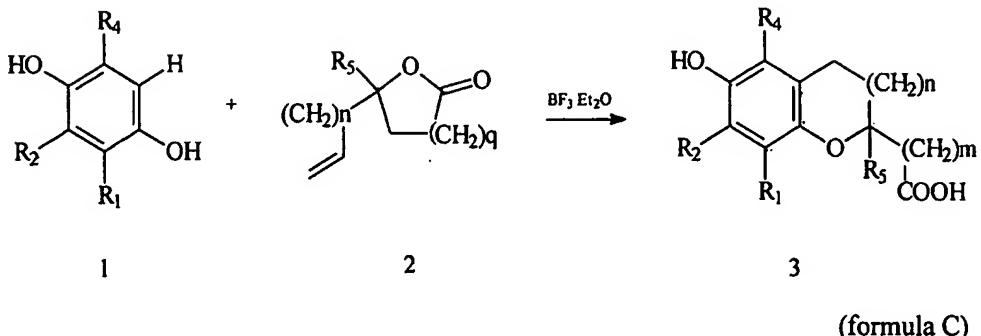
In addition, spectroscopy other than UV was performed. ¹³C- and ¹H-NMR spectra were recorded at 500.1357 MHz in deutero-Chloroform (99.9%) in a GN-500 spectrometer (General Electric). High resolution Electron-Impact (ED mass spectra with a resolution of 2000 were recorded at an ionization voltage of 70 eV, source temperature of 220°C and introduction of sample by direct probe on a VG7070 EHF high resolution mass spectrometer. Fourier-transform infrared (FT-IR) spectroscopy was performed on a Nicolet 5DX with 4 wavenumber resolution.

The IR and ¹³C-NMR spectra of LLU-α provided evidence for the presence of a carboxylic acid group. This explained the tailing of LLU-α observed upon elution from isopropanol/hexane silica gel HPLC (sixth purification step). The presence of a carboxyl group was verified when the reaction of LLU-α with diazomethane resulted in a product that was less polar on RP-HPLC and had an exact mass 14 units greater than LLU-α as determined by MS (Table II). This is consistent with the formation of a methyl ester.

In the following synthesis examples, Examples 4-10 set forth general methods useful to produce a wide range of compounds within the scope of the invention. Examples 11-21 describe syntheses of specific compounds.

SYNTHESIS OF RACEMIC 6-HYDROXYCHROMANS

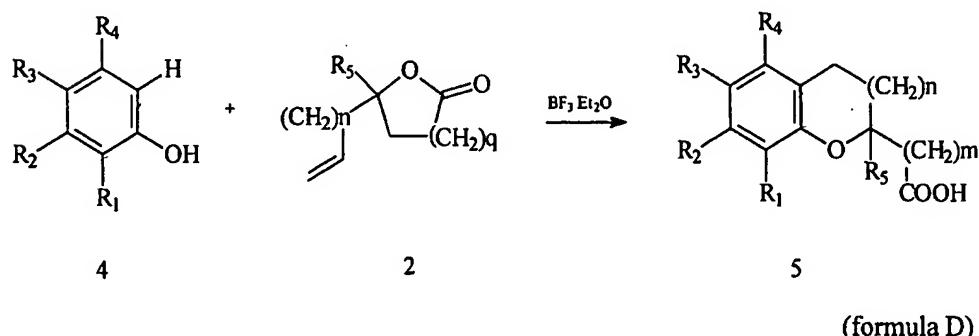
EXAMPLE 4



To a solution of hydroquinone 1 (0.01 mol) and a catalyst, preferably boron trifluoride diethyl etherate (0.016 mol) in an organic solvent, preferably dry dioxane (10 mL), is added vinyl lactone 2 (0.016 mol) in an organic solvent, preferably dry dioxane (5.0 mL) over 1-60 minutes, preferably 60 minutes, at 0-150°C, preferably 110°C, under an inert gas. The reaction mixture is stirred for 0 to 8 hours, preferably 0 hours, at the selected temperature, cooled to room temperature, and diluted with an organic solvent, preferably diethyl ether (200 mL). The reaction mixture is then washed with water (100 mL, 2 x 50 mL), dried over sodium sulfate (Na_2SO_4), and solvent is removed under reduced pressure to afford a brown oily residue. The residue is dissolved in alcohol, preferably methanol (30 mL), and the alcohol is then removed under reduced pressure. The brown oily liquid or semisolid is further purified by chromatography, preferably on silica gel, to afford pure racemic chroman derivative 3.

SYNTHESIS OF RACEMIC CHROMANS

EXAMPLE 5

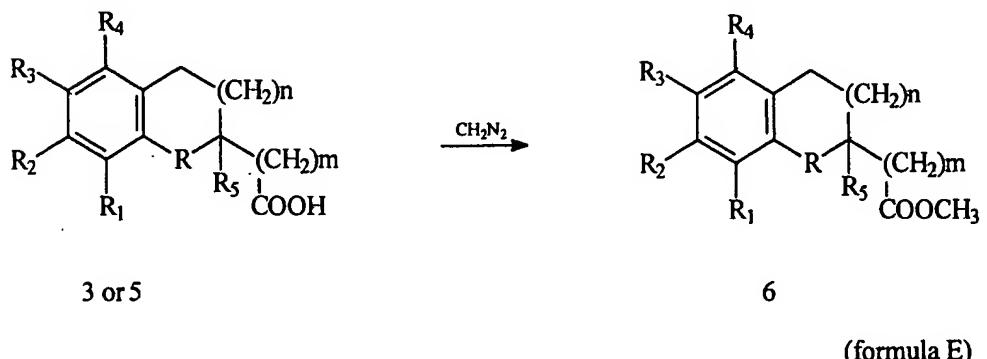


To a solution of phenol 4 (0.01 mol) and a catalyst, preferably boron trifluoride diethyl etherate (0.016 mol) in an organic solvent, preferably dry dioxane (10 mL), is added vinyl lactone 2 (0.016 mol) in an organic solvent, preferably dry dioxane (5.0 mL) over 1-60 minutes, preferably 60 minutes, at 0-150°C, preferably 110°C, under an inert gas. The reaction mixture is stirred for 0 to 8 hours, preferably 0 hours, at the selected temperature, cooled to room temperature, and diluted with an organic solvent, preferably diethyl ether (200 mL). The reaction mixture is then washed with water (100 mL, 2 x 50 mL), dried over sodium sulfate (Na_2SO_4), and solvent is removed under reduced pressure to afford a brown oily residue. The residue is dissolved in alcohol, preferably methanol (30 mL), and the alcohol is then removed under reduced pressure. The brown oily liquid or semisolid is further purified by chromatography, preferably on silica gel, to afford pure racemic chroman derivative 5.

SYNTHESIS OF CHROMAN METHYL ESTERS

15

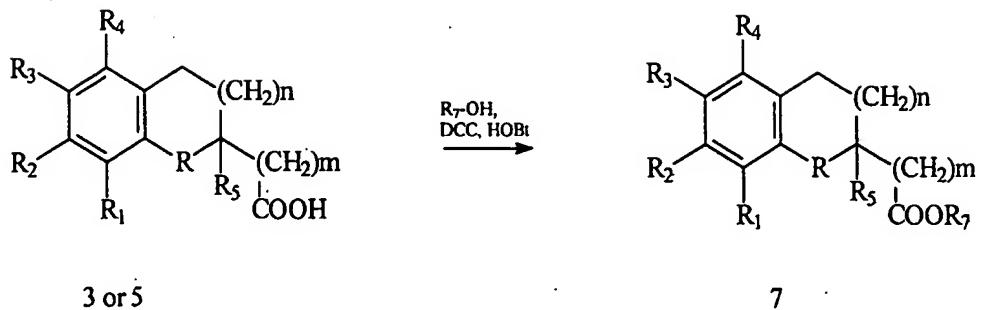
EXAMPLE 6



Chroman 3 ($R_3 = OH$) or 5 (see Examples 4 and 5 above) (0.01 mol) is dissolved in methanol (30 mL), and a solution of diazomethane in ether is added at 0-5°C until the yellow color of the diazomethane remains. The reaction mixture is left at room temperature for 2-5 hours, solvent is removed, and the desired product 6 is crystallized from a suitable organic solvent.

SYNTHESIS OF CHROMAN ESTERS

EXAMPLE 7



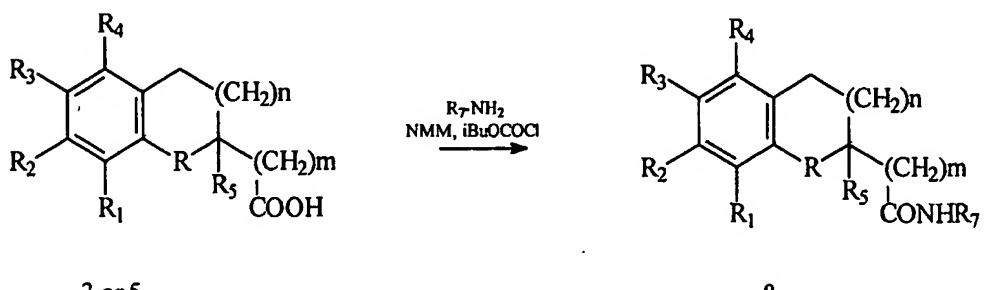
5 Chroman 3 ($R_3 = \text{OH}$) or 5 (10 mmol) is dissolved in dry tetrahydrofuran (30 mL) with an alcohol $R_7\text{-OH}$ (12 mmol), 1-hydroxybenzotriazole (10 mmol) and 1,3-dicyclohexylcarbodimide (11 mmol) at 2-5°C. The reaction mixture is stirred at 2-5°C for one hour and at 23°C for one to 20 hours. Precipitated dicyclohexyl urea is filtered, solvent is removed under reduced pressure, and the residue is diluted with ethyl acetate (150 mL).

10 The organic phase is washed with aqueous KHSO_4 (10%, 40 mL), water (50 mL) and saturated aqueous hydrogen carbonate (50 mL), and then dried over sodium sulfate. The solvent is removed under reduced pressure, and the residue is purified by chromatography, preferably silica gel, to afford pure racemic ester 7.

15

SYNTHESIS OF CHROMAN AMIDES

EXAMPLE 8



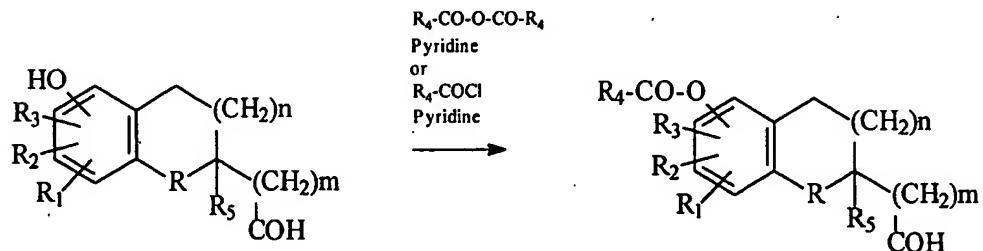
Chroman 3 ($R_3 = \text{OH}$) or 5 (10 mmol) is dissolved in dry tetrahydrofuran (40 mL), and neutralized with N -methylmorpholine. isobutyl chlorocarbonate (10 mmol) is added, followed one minute later by a selected amine ($R_7\text{-NH}_2$ or $R_7R_8\text{-NH}$), or ammonia (11

mmol). The reaction mixture is allowed to reach room temperature. After stirring at room temperature for 1 hour, THF is removed under reduced pressure, and the residue is taken into ethyl acetate (250 mL). The ethyl acetate solution is successively washed with aqueous KHSO₄ (10%, 40 mL), water (50 mL), and saturated aqueous hydrogen carbonate (50 mL), 5 and then dried over sodium sulfate. The solvent is removed under reduced pressure, and the residue is purified by chromatography, preferably silica gel, to afford pure racemic amide 8.

SYNTHESIS OF R₄ CHROMAN ESTERS

10

EXAMPLE 9



3 or 5

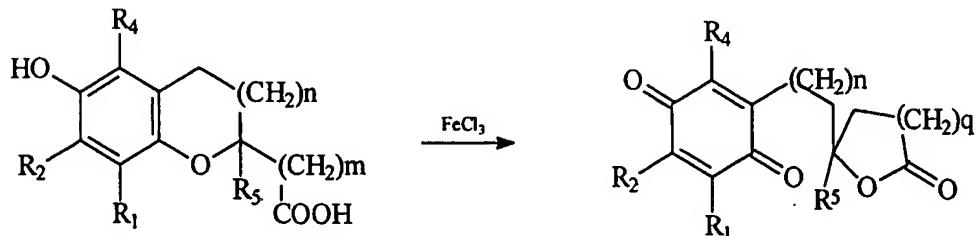
9

Method 1: Chroman 3 (R₃ = OH) or 5 (10 mmol) is dissolved in pyridine (20 mL) and acid anhydride (30 mmol) is added at 5°C. The reaction mixture is left at room temperature for 18 hours, solvent is removed in vacuum, and the residue is dissolved in 15 ethyl acetate (100 mL), washed with citric acid (10%, 30 mL), and water (30 mL), and dried over sodium sulfate. The solvent is removed and the residue is crystallized from ethyl acetate/hexane to afford ester 9.

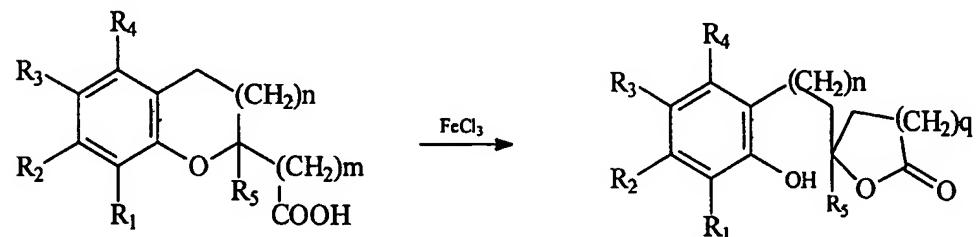
Method 2: Chroman 3 (R₃ = OH) or 5 (10 mmol) is dissolved in dry pyridine (50 mL) under nitrogen and cooled in an ice-water bath. Acyl chloride (10 mmol) is added via 20 syringe over 15 minutes. Stirring is continued for 1 hour at room temperature. Pyridine is removed under reduced pressure, and the residue is dissolved in ethyl acetate (100 mL). The ethyl acetate phase is washed with water (2 x 40 mL), aqueous hydrochloric acid (0.05 M, 30 mL) and water (40 mL), and dried over sodium sulfate. The solvent is removed under reduced pressure, and the residue is purified by chromatography, preferably on silica 25 gel, to afford ester 9.

SYNTHESIS OF OXIDIZED CHROMAN DERIVATIVES

EXAMPLE 10:



3 or 5



3 or 5

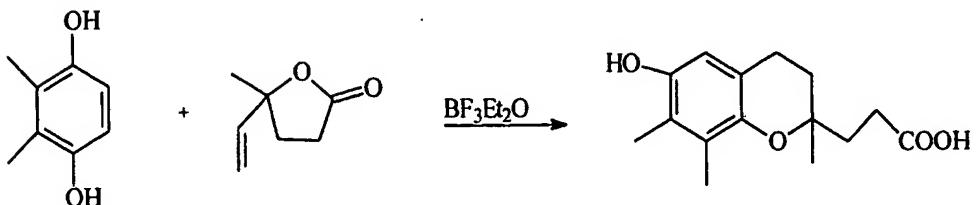
(formula J)

5 Chroman 3 or 5 (0.3 mmol) is dissolved in methanol (2.5 mL) in a flask. A ferric chloride solution is prepared by dissolving 1.0 g $\text{FeCl}_3 \cdot 6\text{H}_2\text{O}$ in water (4.0 mL) and adding methanol (4.0 mL). The ferric chloride solution (2.5 mL) is added to the flask at room temperature with vigorous stirring for 30 minutes in darkness. Methanol is removed in vacuum, and the residue is dissolved in ether (70 mL). The ether solution is washed with water (3 x 20 mL) and dried over sodium sulfate, then the solvent is removed. The product is purified on an RP HPLC column ($\text{CH}_3\text{CH}/\text{H}_2\text{O}$ gradient) to afford a yellow-to-brown oily product.

10

SYNTHESIS OF RACEMIC 2,7,8-TRIMETHYL-2-(β -CARBOXYETHYL)-6-HYDROXY CHROMAN (LLU- α)

EXAMPLE 11



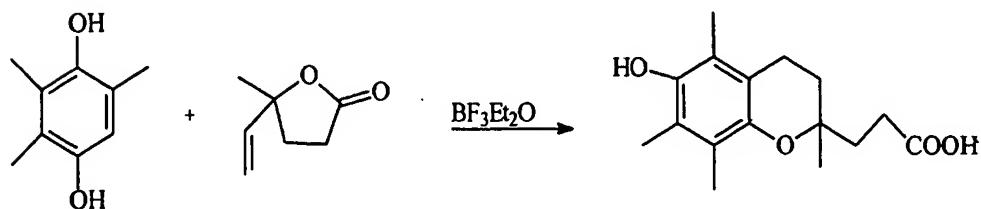
5

(formula K)

To a solution of 2,3-dimethyl-1,4-hydroquinone (0.01 mol) and boron trifluoride diethyl etherate (0.016 mol) in dioxane (10 mL, dried on sodium) in a flask was added γ -methyl- γ -vinylbutyrolactone (0.016 mol) in dioxane (5.0 mL) over 50 min at 110°C (oil bath, reflux) under nitrogen. The reaction mixture was cooled to room temperature and diluted with ether (200 mL), then washed with water (100 mL, 2 x 50 mL) and dried over sodium sulfate. Ether was then removed under reduced pressure to afford a brown, oily residue. The residue was dissolved in methanol (30 mL) and solvent was removed under reduced pressure. The residue was redissolved in methanol (10 mL) and the flask was purged with nitrogen and stored at 5°C for 20 hours. The resulting suspension was 10 centrifuged, and the supernatant was removed. The remaining white solid (see Example 21, below) was suspended in aqueous 70% methanol (15 mL) and again centrifuged. The supernatant was combined with the previous supernatant, and methanol was removed in vacuum to afford a brown, oily liquid. The liquid was further purified by flash column chromatography on silica gel (eluent ethyl acetate/hexane/acetic acid, 500:300:1) to afford 15 pure racemic 2,7,8-trimethyl-2-(β -carboxyethyl)-6-hydroxy chroman, which was 20 crystallized from ether-hexane in a yield of 40%. M.P.: 147-148°C.

SYNTHESIS OF RACEMIC 2,5,7,8-TETRAMETHYL-2-(β -CARBOXYETHYL)-6-HYDROXY CHROMAN

EXAMPLE 12



5

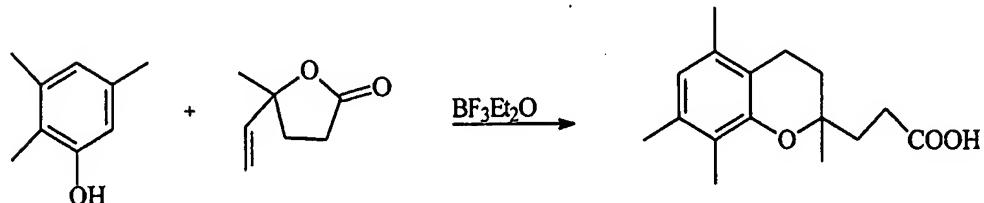
(formula L)

To a solution of 2,3,5-trimethyl-1,4-hydroquinone (0.01 mol) and boron trifluoride diethyl etherate (0.016 mol) in dioxane (10 mL, dried on sodium) in a flask was added γ -methyl- γ -vinylbutyrolactone (0.016 mol) in dioxane (5.0 mL) over 50 min at 110°C (oil bath, reflux) under nitrogen. The reaction mixture was cooled to room temperature and 10 diluted with ether (200 mL), then washed with water (100 mL, 2 x 50 mL) and dried over sodium sulfate. Ether was then removed in vacuum. The residue was dissolved in methanol (30 mL), and solvent was removed in vacuum. The brown, oily residue was dissolved in methanol (20 mL), and water was added until the solution became turbid (app. 20 mL), then the flask was purged with nitrogen and stored overnight in a refrigerator. The light yellow 15 solid was filtered on a sinter funnel, washed with aqueous 50% methanol and dried in a dessicator. The product was further purified by flash column chromatography on silica gel (eluent ethyl acetate/hexane/acetic acid, 500:300:1) to afford pure racemic 2,5,7,8-tetramethyl-2-(β -carboxyethyl)-6-hydroxy chroman, which was crystallized from ether-hexane in a yield of 50%. M.P.: 173°C.

20

SYNTHESIS OF RACEMIC 2,5,7,8-TETRAMETHYL-2-(β -CARBOXYETHYL)-CHROMAN

EXAMPLE 13



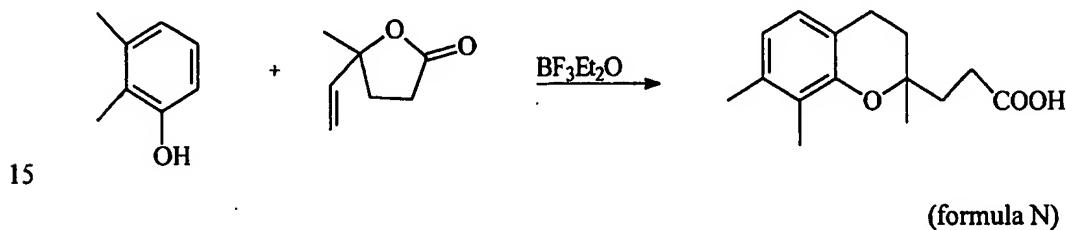
25

(formula M)

To a solution of 2,3,5-trimethylphenol (0.01 mol) and boron trifluoride diethyl etherate (0.016 mol) in dioxane (10 mL, dried on sodium) in a flask was added γ -methyl- γ -vinylbutyrolactone (0.016 mol) in dioxane (5.0 mL) via syringe pump over 50 min at 110°C (oil bath, reflux) under nitrogen. The reaction mixture was cooled to room 5 temperature and diluted with ether (200 mL), then washed with water (100 mL, 2 x 50 mL) and dried over sodium sulfate. Ether was then removed in vacuum. The residue was dissolved in methanol (30 mL) and solvent was removed in vacuum. The reaction mixture was purified by flash column chromatography on silica gel (eluent ethyl acetate/hexane, 1:1). Fractions containing the desired chroman were pooled, solvent was removed, and the 10 compound was crystallized from ethyl acetate/hexane to afford a white crystalline product in a yield of 40%. M.P.: 148-149°C.

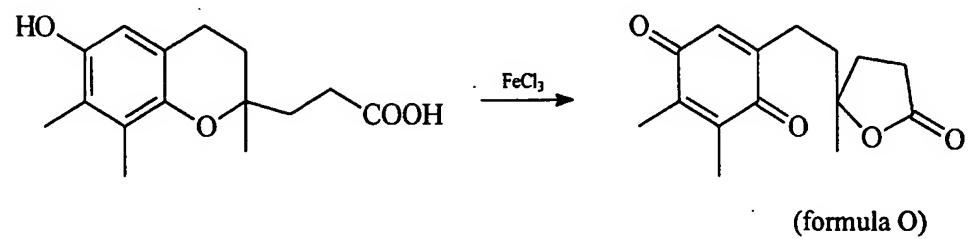
SYNTHESIS OF RACEMIC 2,7,8-TRIMETHYL-2-(β -CARBOXYETHYL)-CHROMAN

EXAMPLE 14



To a solution of 2,3-dimethylphenol (0.01 mol) and boron trifluoride diethyl etherate (0.016 mol) in dioxane (10 mL, dried on sodium) in a flask was added γ -methyl- γ -vinylbutyrolactone (0.016 mol) in dioxane (5.0 mL) via syringe pump over 50 min at 20 110°C (oil bath, reflux) under nitrogen. The reaction mixture was cooled to room temperature and diluted with ether (200 mL) then washed with water (100 mL, 2 x 50 mL) and dried over sodium sulfate. Ether was then removed in vacuum. The residue was dissolved in methanol (30 mL), and solvent was removed in vacuum. The reaction mixture was purified by flash column chromatography on silica gel (eluent ethyl acetate/hexane, 1:1). Fractions containing the desired chroman were pooled, solvent was removed, and the 25 compound was crystallized from ethyl acetate/hexane. M.P.: 93-94°C.

SYNTHESIS OF RACEMIC 4-METHYL-6-(5,6-DIMETHYLBENZOHYDROXYL)-4-
HEXANOLID
EXAMPLE 15



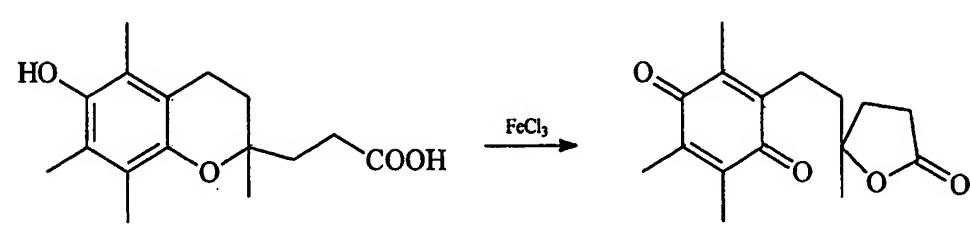
5

Racemic 2,7,8-trimethyl-2-(β-carboxyethyl)-6-hydroxychroman (100 mg) was dissolved in methanol (2.5 mL) in a flask. A solution of ferric chloride was prepared by dissolving 1.0 g $\text{FeCl}_3 \cdot 6\text{H}_2\text{O}$ in water (4.0 mL) and adding methanol (4.0 mL). The ferric chloride solution (2.5 mL) was added to the flask at room temperature with vigorous stirring in darkness for 30 minutes. Methanol was removed in vacuum, and the residue was dissolved in ether (70 mL). The ether solution was washed with water (3 x 20 mL), dried over sodium sulfate, and the solvent was removed. The product was purified on an RP HPLC column ($\text{CH}_3\text{CN}/\text{H}_2\text{O}$ gradient) to afford a yellow-to-brown oily product in 60% yield.

15

SYNTHESIS OF RACEMIC 4-METHYL-6-(3,5,6-TRIMETHYLBENZOHYDROXYL)-4-
HEXANOLID

EXAMPLE 16



20

Racemic 2,5,7,8-tetramethyl-2-(β-carboxyethyl)-6-hydroxychroman (100 mg) was dissolved in methanol (2.5 mL) in a flask. The ferric chloride solution of Example 10 (2.5 mL) was added to the flask at room temperature with vigorous stirring in darkness for 30 minutes. Methanol was removed in vacuum, and the residue was dissolved in ether (70 mL). The ether solution was washed with water (3 x 20 mL), dried over sodium sulfate, and the

25

solvent was removed. The product was purified on an RP HPLC column ($\text{CH}_3\text{CN}/\text{H}_2\text{O}$ gradient) to afford a yellow-to-brown oily product in 60% yield.

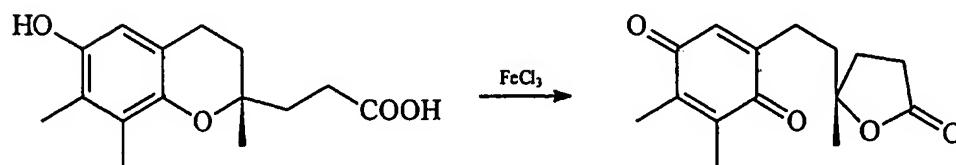
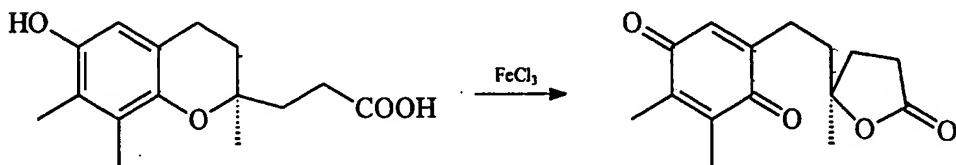
5 RESOLUTION OF RACEMIC 2,7,8-TRIMETHYL-2-(β -CARBOXYETHYL)-6-HYDROXY CHROMAN (LLU- α).

EXAMPLE 17

The resolution of (S) and (R)-enantiomers was carried out on an (S,S)-WHELK-O 1 column (Regis Technologies, Inc.) 250 x 4.6 mm, 1 mL/min, using as eluent isocratic 80% hexane:20% propanol:0.5% acetic acid. Fractions were monitored by UV spectroscopy, 10 collected and dried under an argon stream. The enantiomers elute at 6.8 minutes and 8.7 minutes. Isolated LLU- α , when run on this system, elutes at 8.6 minutes.

15 SYNTHESIS OF (R)- AND (S)-4-METHYL-6-(5,6-DIMETHYLBENZOCHINOYL)-4-HEXANOLID

EXAMPLE 18



(formula Q)

(R)-2,7,8-Trimethyl-2-(β -carboxyethyl)-6-hydroxy chroman 0.00 mg) (see Example 17) was dissolved in methanol (2.5 mL) and ferric chloride solution (2.5 mL) was added at 20 room temperature with vigorous stirring for 30 minutes in darkness. Methanol was removed under reduced pressure, and the residue was dissolved in ether (70 mL). The ether solution was washed with water (3 x 20 mL) dried over sodium sulfate, and the solvent was removed. The product was purified by HPLC, using a Phenomenex column (SPHEREX 10

ODS, 250 x 21.2 mm) with CH₃CN-H₂O 50:50 for 5 minutes, linear gradient to CH₃CN-H₂O 90:10 in 30 minutes, linear gradient to 100% CH₃CN in 5 minutes, flow rate 6 mL/min. Fractions containing the desired oxidation product were identified by UV spectroscopy. The fractions were pooled, and solvent was removed under reduced pressure

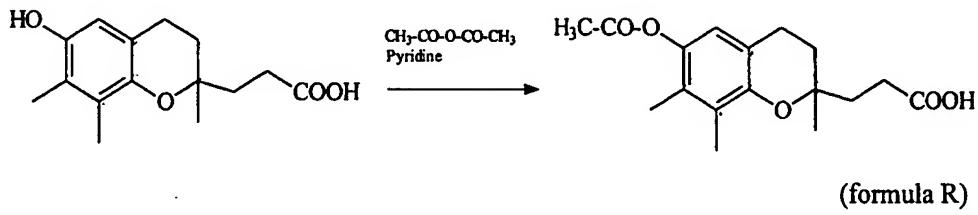
5 to afford (R)-4-Methyl-6-(5,6-dimethylbenzochinoyl)-4-hexanolid as a yellow to brown oil.

The foregoing process was repeated using (S)-2,7,8-Trimethyl-2-(β -carboxyethyl)-6-hydroxy chroman (100 mg) to afford (S)-4-Methyl-6-(5,6-dimethylbenzochinoyl)-4-hexanolid as a yellow to brown oil.

10 SYNTHESIS OF RACEMIC 2,7,8-TRIMETHYL-2-(β -CARBOXYETHYL)-6-ACETYL

CHROMAN

EXAMPLE 19

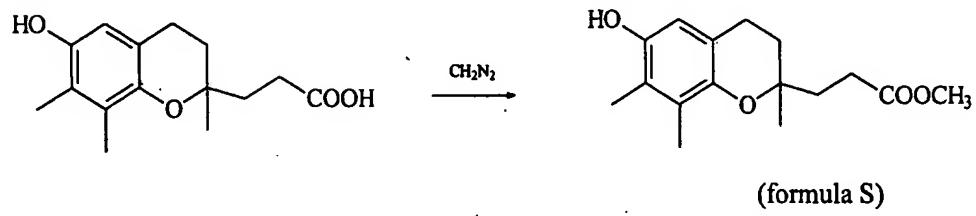


15 Racemic 2,7,8-Trimethyl-2-(β -carboxyethyl)-6-hydroxy chroman (500 mg) (see Example 11) was dissolved in pyridine (20 mL) at room temperature, and acetic anhydride (10 mL) was added. The solution was maintained at room temperature for 5 hours, solvent was removed under vacuum, methanol (4 x 10 mL) was added and then removed under reduced pressure. The residual oil was dissolved in ethyl acetate (150 mL) and the organic

20 phase was washed with water (50 mL) aqueous HCl (1 N, 50 mL) and water (50 mL) then dried over sodium sulfate. Solvent was then removed, and the residual oily material was purified on -a silica gel column with hexane/ethyl acetate (1:1). The desired product crystallized from acetone/hexane, m.p. 105-107°C.

SYNTHESIS OF RACEMIC 2,7,8-TRIMETHYL-2-(β -CARBOXYETHYL)-6-ACETYL
CHROMAN METHYL ESTER

EXAMPLE 20

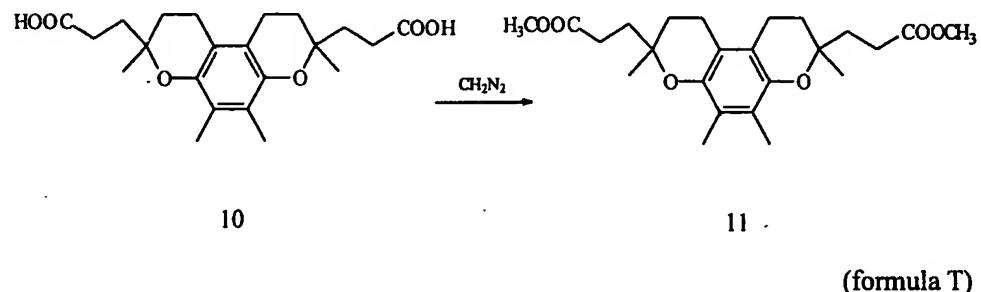


Racemic 2,7,8-Trimethyl-2-(β -carboxyethyl)-6-acetyl chroman (500 mg) (see Examples 11 and 19) was dissolved in methanol (10 mL), and ethereal diazomethane was added until the yellow color of diazomethane remained. The solution was maintained at room temperature for 1 hour, solvent was removed, and the residue was purified on a silica gel column with hexane/acetone (3:1). The desired product crystallized from methanol/water, m.p. 87-88°C.

10

SYNTHESIS OF BENZODIPYRAN METHYL ESTER

EXAMPLE 21



Benzodipyran derivative 10 (m.p. 225-227°C) was isolated as a reaction byproduct from the synthesis of LLU- α (Example 11). Derivative 10 exists as a racemic mixture of a *meso*-(R,S) compound and a diastereomeric pair (R,R) and (S,S). Derivative 10 (1.0 g) was suspended in methanol (10 mL), and ethereal diazomethane was added until the yellow color of diazomethane remained. The clear solution was maintained at room temperature for 1 hour, solvent was removed, and the residue was purified on a silica gel column with hexane/acetone (3:1). The desired product crystallized from hexane, m.p. 75-76°C.

15

20

TREATMENT AND PREVENTION OF HIGH BLOOD PRESSURE

EXAMPLE 22

High blood pressure is a major contributory factor to cardiovascular related illness. The administration of a supplement according to the present invention, as detailed in the 5 following example, will treat and prevent high blood pressure.

The blood pressure of a patient suffering from high blood pressure is determined by conventional methods. The patient is then given a daily dose of supplement (200-400 mg) containing a formulation of γ -tocopherol 75% (weight to weight) and LLU- α 25% (weight to weight). The daily course of supplementation is continued for a period of 9-12 months 10 after which time the patient's blood pressure is again determined. After a period of 12 months, a reduction in blood pressure is observed. As a control, placebos or supplements containing equivalent amounts of α -tocopherol are provided to patients suffering from high blood pressure. The results of this study will demonstrate that supplementation with a formulation of γ -tocopherol and LLU- α will treat and prevent, high blood pressure in a 15 patient suffering from this disease to a greater extent than supplementation with a placebo or an equivalent amount of α -tocopherol.

TREATMENT AND PREVENTION OF THROMBOEMBOLIC DISEASE

EXAMPLE 23

20 Thromboembolic disease is a considerable problem for insulin-dependant diabetics, the elderly, and people suffering from cardiovascular disease. The administration of a supplement of the present invention, according to the example below, will treat and prevent thromboembolic disease.

Blood from a patient suffering from thromboembolic disease is drawn and a platelet 25 aggregation assay, as known by one of skill in the art, is performed on the sample. (See *Richardson and Steiner, Adhesion of Human Platelets Inhibited by Vitamin E, Chapter 24, Vitamin E in Health and Disease, Packer and Fuchs editors, Marcel Dekker Inc. Publishers 1993 pp. 297-311*). The patient is then given a daily dose of supplement 200-400 mg containing a formulation of γ -tocopherol 75% (weight to weight) and LLU- α 25% (weight 30 to weight). The daily course of supplementation is continued for a period of 2-4 weeks after which time the patient's blood is again drawn and platelet aggregation is determined. After a period of 4 weeks, a reduction in platelet aggregation will be observed. As a

control, placebos or supplements containing equivalent amounts of α -tocopherol are provided to patients suffering from thromboembolic disease. The results of this study will demonstrate that supplementation with a formulation of γ -tocopherol and LLU- α will reduce platelet aggregation and thereby treat and prevent thromboembolic disease in a 5 patient suffering from this malady better than supplementation with a placebo or an equivalent amount of α -tocopherol.

REDUCTION OF PLATELET BINDING TO ADHESIVE PROTEINS

EXAMPLE 24

10 Platelet aggregation and thromboembolic disease are related to the aberrant binding of platelets to adhesive proteins. By following the example disclosed below, platelet binding to adhesive proteins can be inhibited by supplementation of γ - tocopherol and LLU- α .

15 Blood from a patient suffering from thromboembolic disease is drawn and a platelet adhesion assay, as known by one of skill in the art, is performed on the sample. (See Richardson and Steiner, *Adhesion of Human Platelets Inhibited by Vitamin E, Chapter 24 Vitamin E in Health and Disease*, Packer and Fuchs editors, Marcel Dekker Inc. Publishers 1993 pp. 297-311). The patient is then given a daily dose of supplement 100-200 mg containing a formulation of γ -tocopherol 75% (weight to weight) and LLU- α 25% (weight 20 to weight). The daily course of supplementation is continued for a period of 2-4 weeks after which time the patient's blood is again drawn and platelet adhesion is determined. After a period of 4 weeks, a reduction in platelet adhesion will be observed. As a control, placebos and supplements containing equivalent amounts of α -tocopherol can be provided to patients suffering from thromboembolic disease. The results of this study will demonstrate 25 that supplementation with a formulation of γ -tocopherol and LLU- α will reduce platelet binding to adhesive protein better than supplementation with a placebo or an equivalent amount of α -tocopherol.

TREATMENT AND PREVENTION OF ARTEROSCELEROSIS

EXAMPLE 25

30 Oxidized LDL is chemoattractant to circulating monocytes and inhibits macrophage mobility in the intima. Indiscriminate uptake of oxidatively modified LDL by scavenger

receptors of macrophages results in cholesterol-laden foam cells and fatty-streak formation. These events, and the potential cytotoxicity of oxidized LDL, further promote the evolution of fatty streaks to a more advanced lesion and cardiovascular disease. *In vitro* indices of LDL oxidation are known in the prior art and can be adapted to determine the 5 ability of a formulations of γ -tocopherol and LLU- α to prevent atherosclerosis and cardiovascular disease. The following example provides one approach by which to treat and prevent atherosclerosis cardiovascular disease.

Blood from a patient suffering from atherosclerosis is drawn and the amount of oxidized LDL present in the sample is determined. (See Frei and Ames, *Relative* 10 *Importance of Vitamin E in Antiperoxidative Defenses in Human Blood Plasma and Low-density Lipoprotein (LDL)*, Chapter 10 Vitamin E in Health and Disease, Packer and Fuchs editors, Marcel Dekker Inc. Publishers 1993 pp. 131-139). The patient is then given a daily dose of supplement 400-800 mg containing a formulation of γ -tocopherol 75% (weight to weight) and LLU- α 25% (weight to weight). The daily course of 15 supplementation is continued for a period of 2-4 weeks after which time the patient's blood is again drawn and the amount of oxidized LDL present in the sample is determined. As a control, placebos or supplements containing equivalent amounts of α -tocopherol are provided to patients suffering from atherosclerosis. The results of this study will demonstrate that supplementation with a formulation of γ -tocopherol and LLU- α will 20 reduce the level of oxidized LDL in a patient and thereby treat and prevent atherosclerosis and cardiovascular disease better than supplementation with a placebo or an equivalent amount of α -tocopherol.

TREATMENT AND PREVENTION OF CANCER

EXAMPLE 26

The antioxidant and nitrogen scavenger properties of γ -tocopherol and LLU- α can be used to treat and prevent cancer, as described below. The following example is based on an experimental methodology accepted by those of skill in the art to reflect anti-tumor effects in the human body. (See Elson, *Impact of Palm Oil on Experimental* 30 *Carcinogenesis*, Chapter 39 Vitamin E in Health and Disease, Packer and Fuchs editors, Marcel Dekker Inc. Publishers 1993 pp. 533-545). Four groups of mice are used in the study: (1) control mice in which tumor formation is not induced but treatment with a

formulation of γ -tocopherol 75% (weight to weight) and LLU- α 25% (weight to weight) is rendered; (2) control mice in which tumor formation is induced and treatment is not rendered; (3) experimental mice in which tumor formation is induced and treatment with γ -tocopherol 75% (weight to weight) is rendered; and (4) experimental mice in which tumor formation is induced and treatment with a formulation of γ -tocopherol 75% (weight to weight) and LLU- α 25% (weight to weight) is rendered. As a further control, mice in which tumor formation is induced are treated with varying concentrations of α -tocopherol so as to evaluate the relative effectiveness of γ -tocopherol and the formulation of γ -tocopherol and LLU- α , as compared to α -tocopherol.

10 Mice which receive treatment with γ -tocopherol or a formulation of γ -tocopherol and LLU- α , as described above, are given 20mg/kg of supplement for a period of 2-4 weeks. Tumor cells derived from a spontaneously arising mammary tumor are then injected into the thigh area of the experimental mice to induce tumor formation. Treatment with γ -tocopherol and the formulation of γ -tocopherol and LLU- α is continued according to the protocol above. After 21 days, the mean volume of tumors in the mice is determined and compared. The results of this study will demonstrate that the mean volume of tumors in the mice treated with γ -tocopherol and the formulation of γ -tocopherol and LLU- α , is less than the mean volume of tumors in the control mice in which tumor formation was induced but γ -tocopherol or the formulation of γ -tocopherol and LLU- α is not administered.

REDUCTION IN THE FORMATION OF FREE RADICALS

EXAMPLE 27

A reduction in the formation of free radicals is thought to be essential to prevent cancer and cardiovascular disease. The following example provides an approach to evaluate the efficacy of supplementation with a formulation of γ -tocopherol and LLU- α for reducing the formation of free radicals.

Human excretion of breath pentane has been used in a number of human studies as a measure of free-radical reactions. (See Packer et al., *Significance of Vitamin E for the Athlete, Chapter 34, Vitamin E in Health and Disease*, Packer and Fuchs editors, Marcel Dekker Inc. Publishers 1993 pp. 465-471). The breath pentane is measured from two groups of human volunteers. The first group serves as the control for the study and is not

supplemented with a formulation of γ -tocopherol and LLU- α . The second group is supplemented for 2-4 weeks (200-400 mg/day) with a formulation of γ -tocopherol 75% (weight to weight) and 25% (weight to weight) LLU- α . As another control, human volunteers supplemented with an equivalent amount α -tocopherol can be used. Both the 5 control and experimental groups are subjected to exhaustive exercise and a measurement of breath pentane is taken shortly thereafter. The results will show that breath pentane, a measure of free-radical formation in the body, is reduced in humans who received supplementation with a formulation of γ -tocopherol and LLU- α as compared to a control group which received either no supplementation or supplementation with α -tocopherol.

10

TREATMENT AND PREVENTION OF NEUROPATHOLOGICAL LESIONS

EXAMPLE 28

Vitamin E deficiency in animals is associated with axonal dystrophy that involves degeneration in the posterior cord and in the gracile and cuneate nuclei. Humans who 15 suffer from malabsorption syndromes that are associated with decreased absorption or transport of vitamin E develop similar neurological symptoms including hypoflexia, gait disturbances, decreased sensitivity to vibration and proprioception and ophthalmoplegia. Neuropathological lesions, including axonal degeneration of the posterior cord and the gracilis nucleus in humans are comparable with those found in animals deficient in vitamin 20 E. Rats suffering from vitamin E deficiency can be used to determine the therapeutic benefits of supplementation with a formulation of γ -tocopherol and LLU- α , according to the following example, for the treatment and prevention of neurological conditions.

Rats are maintained on a vitamin E depleted diet for a period of 8 weeks so that neuropathological lesions are allowed to develop. One group of vitamin E deficient rats is 25 continued on the vitamin E depleted diet without vitamin E supplementation during the course of the study and serves as a control. A second control consists of vitamin E deficient rats maintained on a vitamin E depleted diet but supplemented with 20 mg/kg of α -tocopherol. The experimental group of vitamin E deficient rats is treated with either 20 mg/kg of γ -tocopherol or a formulation 75% (weight to weight) of γ -tocopherol and 25% 30 (weight to weight) LLU- α for a period of 2-4 weeks. The rats are then sacrificed and the presence of neuropathological lesions is determined by methods known in the art. The results of this study will demonstrate that supplementation with a formulation of

γ -tocopherol and LLU- α will treat and prevent the formation of neuropathological lesions associated with vitamin E deficiency better than supplementation with α -tocopherol or no supplementation at all.

5

MODULATION OF IMMUNE SYSTEM RESPONSE

EXAMPLE 29

The main role of vitamin E in enhancing immune response is believed to involve the prevention of lipid peroxidation of cell membranes. The rapidly proliferating cells of the stimulated immune and phagocytic systems are particularly prone to peroxidative damage by free radicals, peroxides, and superoxides. Vitamin E supplementation has been shown to modulate the immune response of mammals as evidenced by a reduction in PGE₂ production, an increase in mitogenic response, an increase in IL-2 production, and the induction of delayed-type hypersensitivity (DTH). (See Meydani and Tengerdy, *Vitamin E and Immune Response, Chapter 40, Vitamin E in Health and Disease, Packer and Fuchs editors, Marcel Dekker Inc. Publishers 1993 pp. 549-561*). An improvement in immune response after supplementation with a formulation of γ -tocopherol and LLU- α are determined by measuring the reduction of PGE₂ and the increase in IL-2 production in mice, according to the following example.

A first group of mice, the control group, does not receive treatment with γ -tocopherol or a formulation of γ -tocopherol and LLU- α . To compare the therapeutic benefits of a formulation of γ -tocopherol and LLU- α with α -tocopherol, a control group which receives treatment with α -tocopherol is used. A second group of mice, the experimental group, receives treatment with a formulation of γ -tocopherol and LLU- α . Treatment consists of 40 mg/kg of a formulation of γ -tocopherol 75% (weight to weight) and LLU- α 25% (weight to weight) for a period of 8 weeks. Shortly after the treatment phase, the control and experimental groups are administered an antigen which illicit an immune response. Next, the PGE₂ production and IL-2 production is determined by conventional methods. The results of this study will demonstrate that mice which received treatment with a formulation of γ -tocopherol and LLU- α exhibit a lower level of PGE₂ and an increase in IL-2 production as compared to control mice which received either α -tocopherol supplementation or no supplementation at all.

Although the invention has been described with reference to the presently preferred embodiment, it should be understood that various modifications can be made without departing from the spirit of the invention. Accordingly, the invention is limited only by the following claims. All references cited herein are hereby expressly incorporated by
5 reference.

WHAT IS CLAIMED IS:

1. Use of a composition that comprises tocopherols, at least 50% of said tocopherols being γ -tocopherol, for the manufacture of a medicament for the treatment or prevention of a natriuretic disease, cardiovascular disease, cancer, or neurological disease.
- 5 2. Use of a composition that comprises tocopherols, at least 50% of said tocopherols being γ -tocopherol, for the manufacture of a medicament for enhancing an immune response of a subject.
3. The use of Claim 1 or Claim 2, wherein at least 65% of said tocopherols are γ -tocopherol.
- 10 4. The use of Claim 1 or Claim 2, wherein at least 70% of said tocopherols are γ -tocopherol.
5. The use of Claim 1 or Claim 2, wherein said composition comprises up to 10% α -tocopherol.
6. The use of Claim 1 or Claim 2, wherein said composition comprises up to 15 25% β -tocopherol.
7. The use of Claim 1 or Claim 2, wherein said composition comprises up to 25% δ -tocopherol.
8. The use of Claim 1 or Claim 2, wherein said composition additionally comprises 6-hydroxy-2,7,8-trimethylchroman-2-propanoic acid (LLU- α).
- 20 9. The use of Claim 1 or Claim 2, wherein said composition additionally comprises 25% to 60% LLU- α .
10. The use of Claim 1 or Claim 2, wherein said composition additionally comprises (S)-LLU- α .
- 25 11. The use of Claim 1 or Claim 2, wherein said composition additionally comprises 25% to 60% (S) LLU- α .
12. The use of Claim 1, wherein the natriuretic-related disease is selected from the group consisting of hypertension, high blood pressure, ischemia, angina pectoris, congestive heart failure, cirrhosis of the liver, nephrotic syndrome, ineffective renal perfusion, and ineffective glomerular filtration.
- 30 13. The use of Claim 1, wherein the cardiovascular disease is selected from the group consisting of thromboembolic disease, atherosclerosis, low density lipid oxidation, adhesion of monocytes to endothelial cells, foam-cell formation, fatty streak development,

platelet adherence, platelet aggregation, smooth muscle cell proliferation, and reperfusion injury.

14. The use of Claim 1, wherein the cancer is selected from the group consisting of lung cancer, prostate cancer, breast cancer, and colon cancer.
- 5 15. The use of Claim 1, wherein the neurological disease is selected from the group consisting of hyporeflexia, proprioception, ophthalmoplegia, neuropathological lesions, and axonal dystrophy.
- 10 16. A medicament comprising γ -tocopherol and LLU- α as active ingredients with or without additional active ingredients, wherein said γ -tocopherol and LLU- α are in an amount effective to produce a natriuretic effect.
17. The medicament of Claim 16, wherein said medicament further comprises α -tocopherol.
18. The medicament of Claim 17, wherein said medicament further comprises β -tocopherol.
- 15 19. The medicament of either Claim 17 or Claim 18, wherein at least 50% of total tocopherol concentration (weight to weight) is γ -tocopherol.
- 20 20. The medicament of either Claim 17 or Claim 18, wherein at least 65% of total tocopherol concentration (weight to weight) is γ -tocopherol.
21. The medicament of Claim 16, wherein said medicament comprises 25% to 20 60% LLU- α by weight of active ingredients.
22. The medicament of Claim 16, wherein said LLU- α is racemic LLU- α .
23. The medicament of Claim 16, wherein said LLU- α is (S)-LLU- α .

INTERNATIONAL SEARCH REPORT

International application No. PCT/US99/30100

A. CLASSIFICATION OF SUBJECT MATTER

IPC(7) :A61K 31/335

US CL :514/458

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 514/458

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 5,139,796 A (BARKALOW et al.) 18 August 1992(18.08.92), see the abstract and Table 2 at column 5.	1-5 and 12-15
A		6-11 and 16-23

Further documents are listed in the continuation of Box C. See patent family annex.

* Special categories of cited documents:	"T"	later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
"A"		document defining the general state of the art which is not considered to be of particular relevance
"E"		earlier document published on or after the international filing date
"L"		document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
"O"		document referring to an oral disclosure, use, exhibition or other means
"P"		document published prior to the international filing date but later than the priority date claimed
"X"		document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
"Y"		document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
"&"		document member of the same patent family

Date of the actual completion of the international search

23 MARCH 2000

Date of mailing of the international search report

21 APR 2000

Name and mailing address of the ISA/US
Commissioner of Patents and Trademarks
Box PCT
Washington, D.C. 20231

Facsimile No. (703) 305-3230

Authorized officer

RAYMOND J. HENLEY III

Telephone No. (703) 308-1235